

# Vertical Emittance Reduction and Preservation in the ESRF Electron Storage Ring

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## Outlines

- Vertical emittance s in the presence of coupling
- Coupling correction via Resonance Driving Terms
- 2010: Application in the ESRF storage ring
- 2010: Preserving small vertical emittance during beam delivery
- 2011: Towards ultra-small vertical emittance

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### **CAUTION!**

***Next considerations are valid for***

- ***lepton machines (subject to radiation damping and diffusion)***

**AND**

- ***machines the tunes of which are separated by several integers (@ ESRF  $Q_x=36.44$  ,  $Q_y=13.39$  ,  $Q_x-Q_y=26.05$  ) but not for those with the same integer part (like the CERN SPS  $Q_x \approx Q_y=26.6$ )***

## ESRF

### Electron Storage Ring

- 3<sup>rd</sup> gen. light source
- Energy: 6 GeV
- Circumference: 844 m
- Max current: 200 mA
- (300 mA)
- DBA lattice
- 16-fold symmetry (32 mirrored foc. cells)



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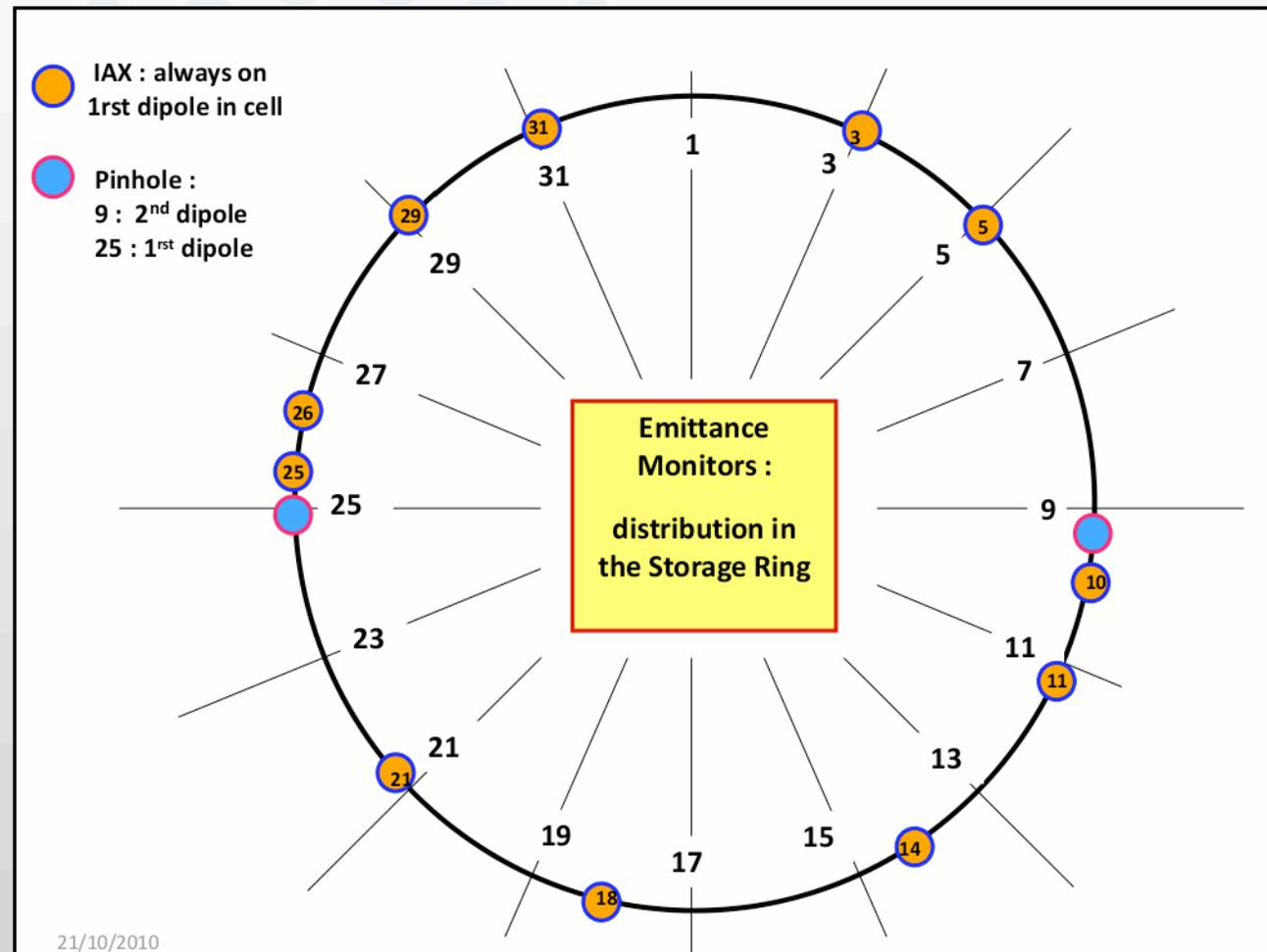
$$\mathcal{E}_v = \mathcal{E}_y = \mathbb{E}_y = \text{const.}$$

With zero vertical dispersion,  $\mathcal{E}_v = \mathcal{E}_y = \mathbb{E}_y \approx 0$

# Meas. vertical emittance $E_y$ from RMS beam size

ESRF SR equipment:

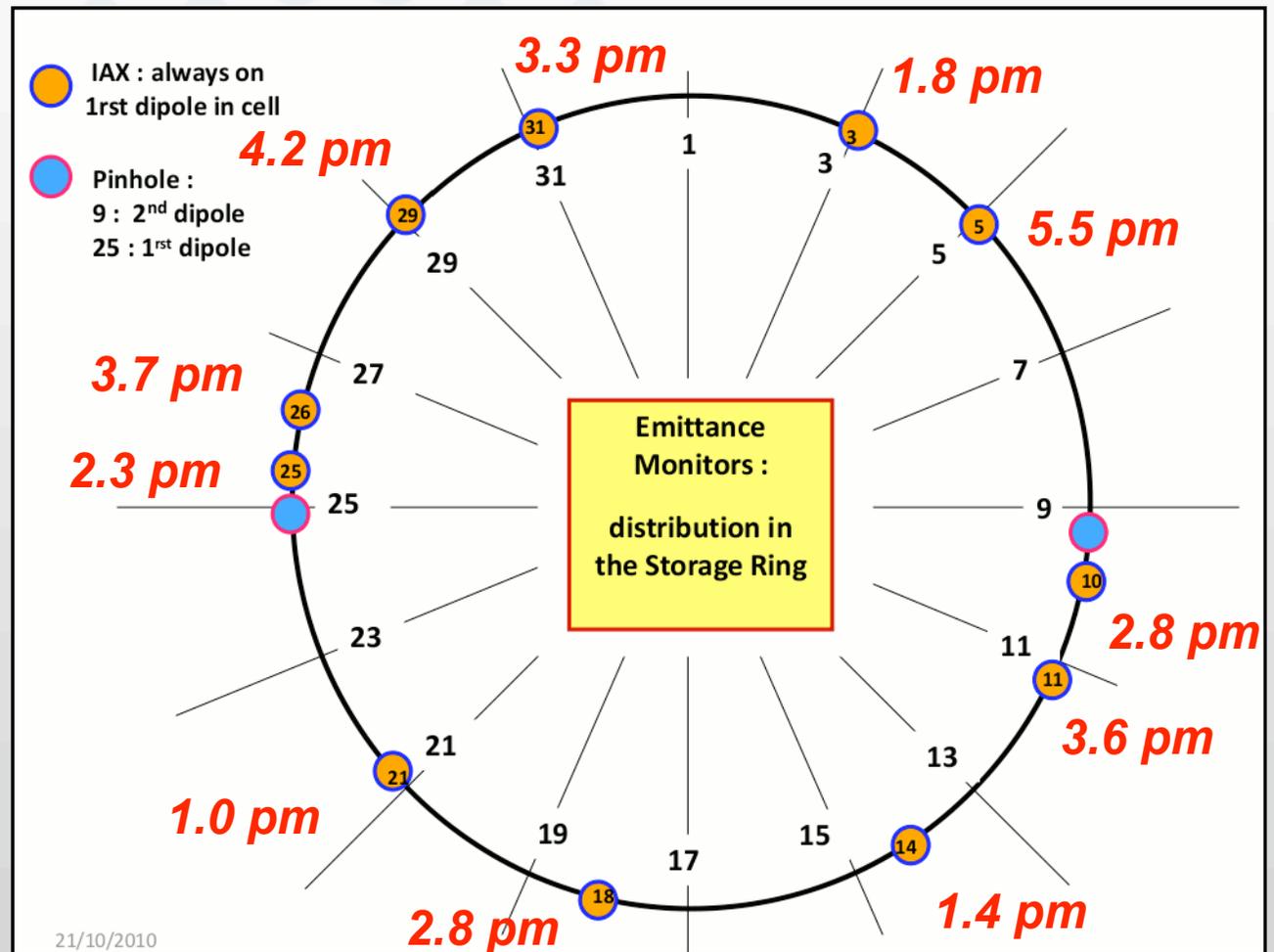
- 11 dipole radiation projection monitors (IAX)
- 2 pinhole cameras



# Meas. vertical emittance $E_y$ from RMS beam size

$E_x = 4.2$  nm

- Well corrected coupling
- Low beam current (20 mA)



# Meas. vertical emittance $E_y$ from RMS beam size

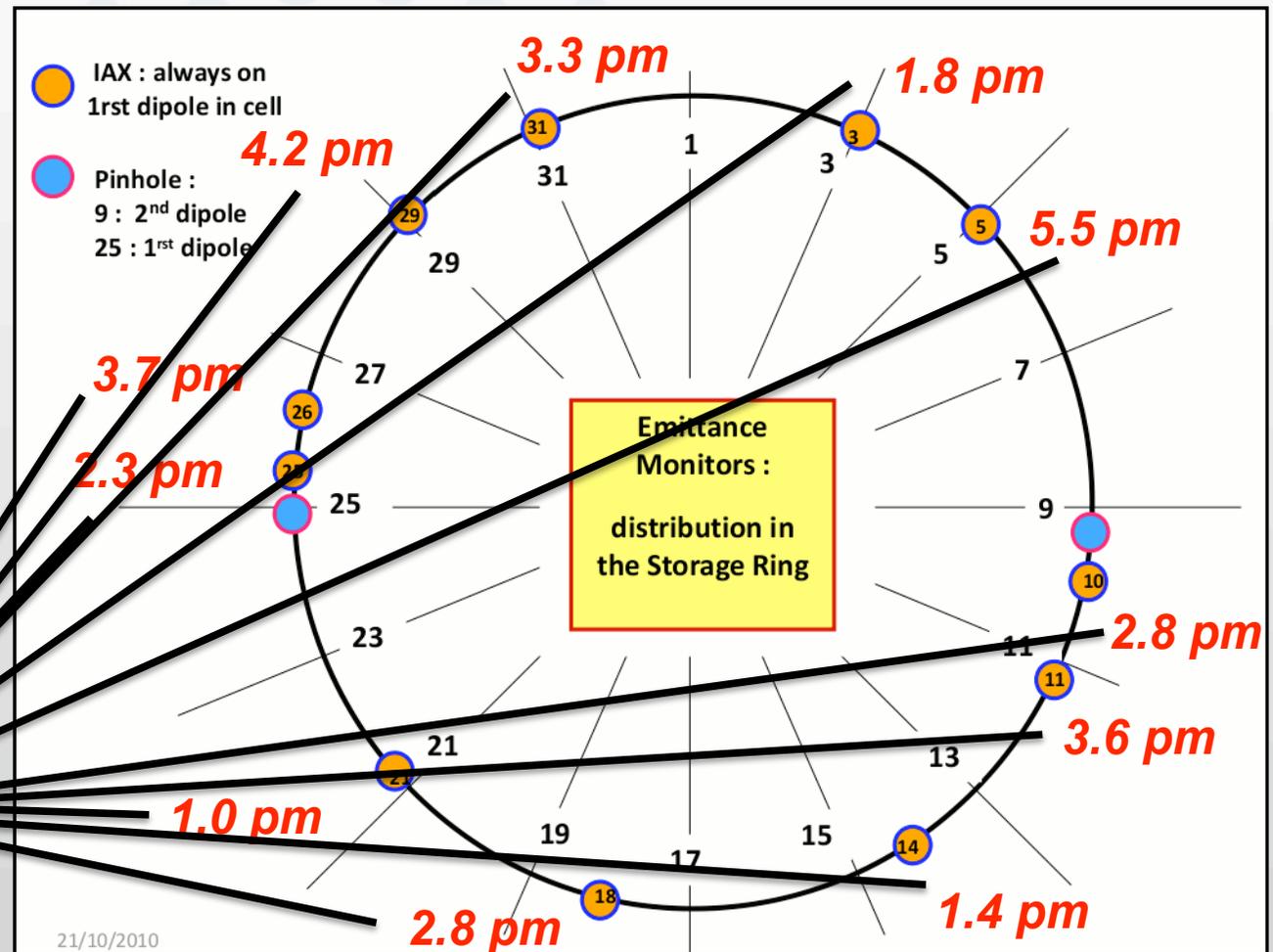
$E_x = 4.2 \text{ nm}$

- Well corrected coupling
- Low beam current (20 mA)

$\bar{E}_y = 3.0 \text{ pm}$

$\pm 1.3 \text{ (STD)}$

$\pm 0.15 \text{ (time jitter)}$



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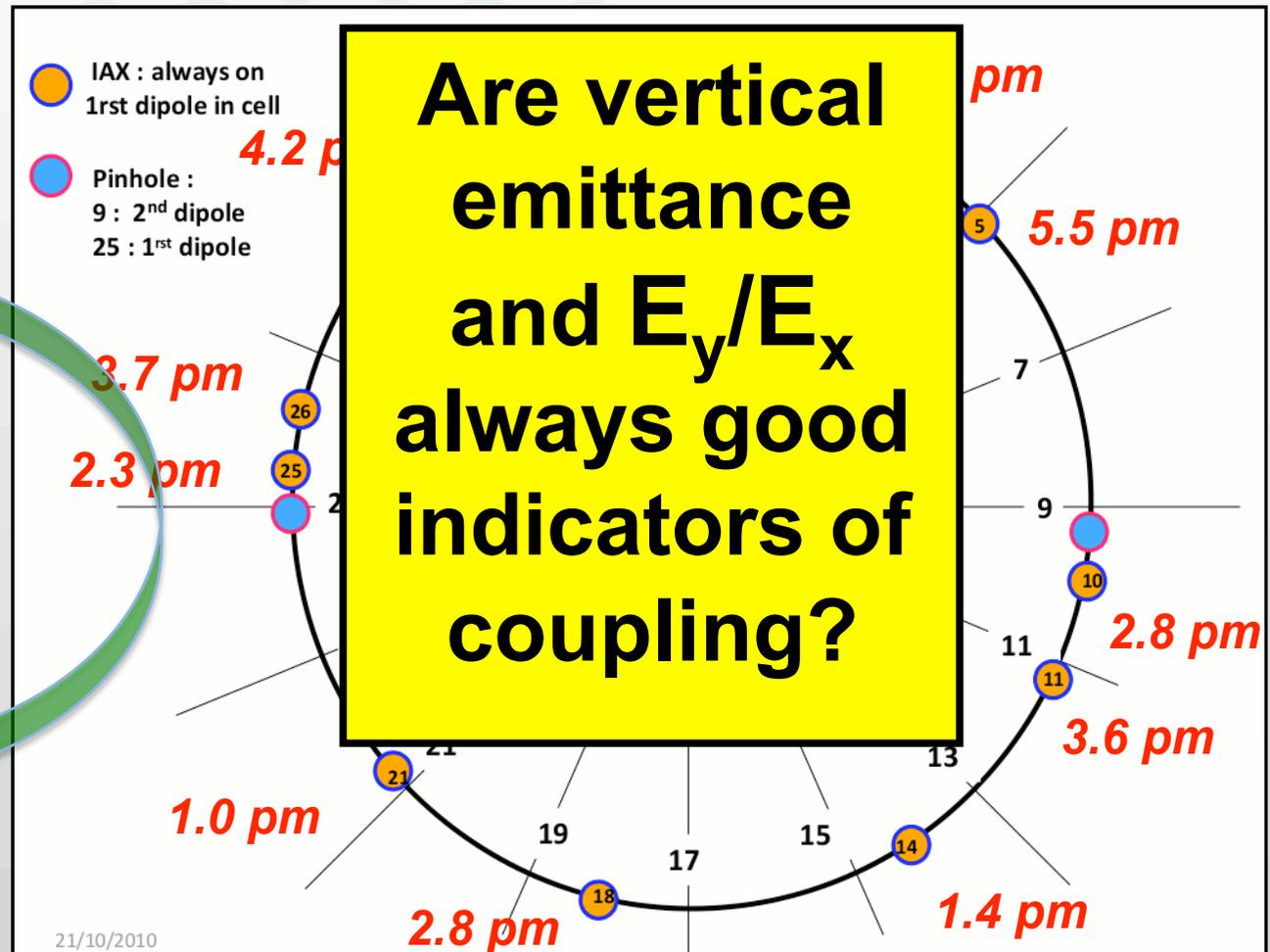
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# Meas. vertical emittance $E_y$ from RMS beam size

Fixed low coupling

Stored beam current [mA]	Measured Vertical emittance [pm]	
	<b>INCREASING ION TRAPPING</b>	
20	3.0 ± 1.5 (STD) ±0.15 (TJ)	
100	5.7 ± 1.7 (STD) ±0.07 (TJ)	
160	10.1 ± 2.0 (STD) ±0.12 (TJ)	
200	17.2 ± 1.8 (STD) ±0.35 (TJ)	

- STD =standard deviation from 11 IAX monitors
- TJ=Time Jitter over 30 s from 1-Hz sampling

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200*	4.2 ± 1.4 (STD) ±0.05 (TJ)	← with bunch-by-bunch feedback

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# Meas. vertical emittance $E_y$ from RMS beam size

Fixed low coupling

Fixed low beam current

Stored beam current [mA]	Measured Vertical emittance [pm]	Corrector skew quad (S13C1) current [A]	Measured Vertical emittance [pm]
	INCREASING ION TRAPPING		INCREASING COUPLING
20	3.0 ± 1.5 (STD) ±0.15 (TJ)	0	3.1 ± 1.5 (STD) ±0.20 (TJ)
100	5.7 ± 1.7 (STD) ±0.07 (TJ)	0.08	5.7 ± 1.8 (STD) ±0.20 (TJ)
160	10.1 ± 2.0 (STD) ±0.12 (TJ)	0.15	10.0 ± 2.7 (STD) ±0.20 (TJ)
200	17.2 ± 1.8 (STD) ±0.35 (TJ)	0.18	17.2 ± 3.2 (STD) ±0.20 (TJ)

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**2 different physical phenomena, same average vertical emittance**

- STD =standard
- TJ=Time Jitter over 50 s from 1-Hz sampling

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Stored beam current [mA]	Measured Vertical emittance [pm]	Corrector skew quad (S13C1) current [A]	Measu [pm]
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20	3.0 ± 1.5 (STD) ±0.15 (TJ)	0	3.1 ± 1.5
100	5.7 ± 1.7 (STD) ±0.07 (TJ)	0.08	5.7 ± 1.7
160	10.1 ± 2.0 (STD) ±0.12 (TJ)	0.15	10.0 ± 2.0
200	17.2 ± 1.8 (STD) ±0.35 (TJ)	0.18	17.2 ± 1.8

**Are vertical emittance and  $E_y/E_x$  always good indicators of coupling? Maybe not**

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**20% larger STD**

**110% larger STD**

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# Meas. vertical emittance $E_y$ from RMS beam size

Fixed low coupling

Fixed low beam current

Stored beam current [mA]	$E_y$ [pm]	STD	TJ	$E_y$ [pm]	STD	TJ
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**The spread among vertical emittance measurements along the ring increases with coupling. Why?**

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## Vertical emittance in the **absence** of coupling

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## Vertical emittances in the presence of coupling

- Eigen-emittance  $\mathcal{E}$ : still **constant** along the ring, but  $\mathcal{E}_v \neq 0$
- Non measurable **projected s-dependent** RMS emittance:

$$\epsilon_y(s) = \sqrt{\sigma_y(s)\sigma_p(s) - \sigma_{yp}^2(s)}$$

- Measurable **apparent s-dependent** emittance from RMS beam size:

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$$\mathcal{E}_v = \text{const} \neq \epsilon_y(s) \neq \mathbb{E}_y(s)$$

# Vertical emittances in the presence of coupling

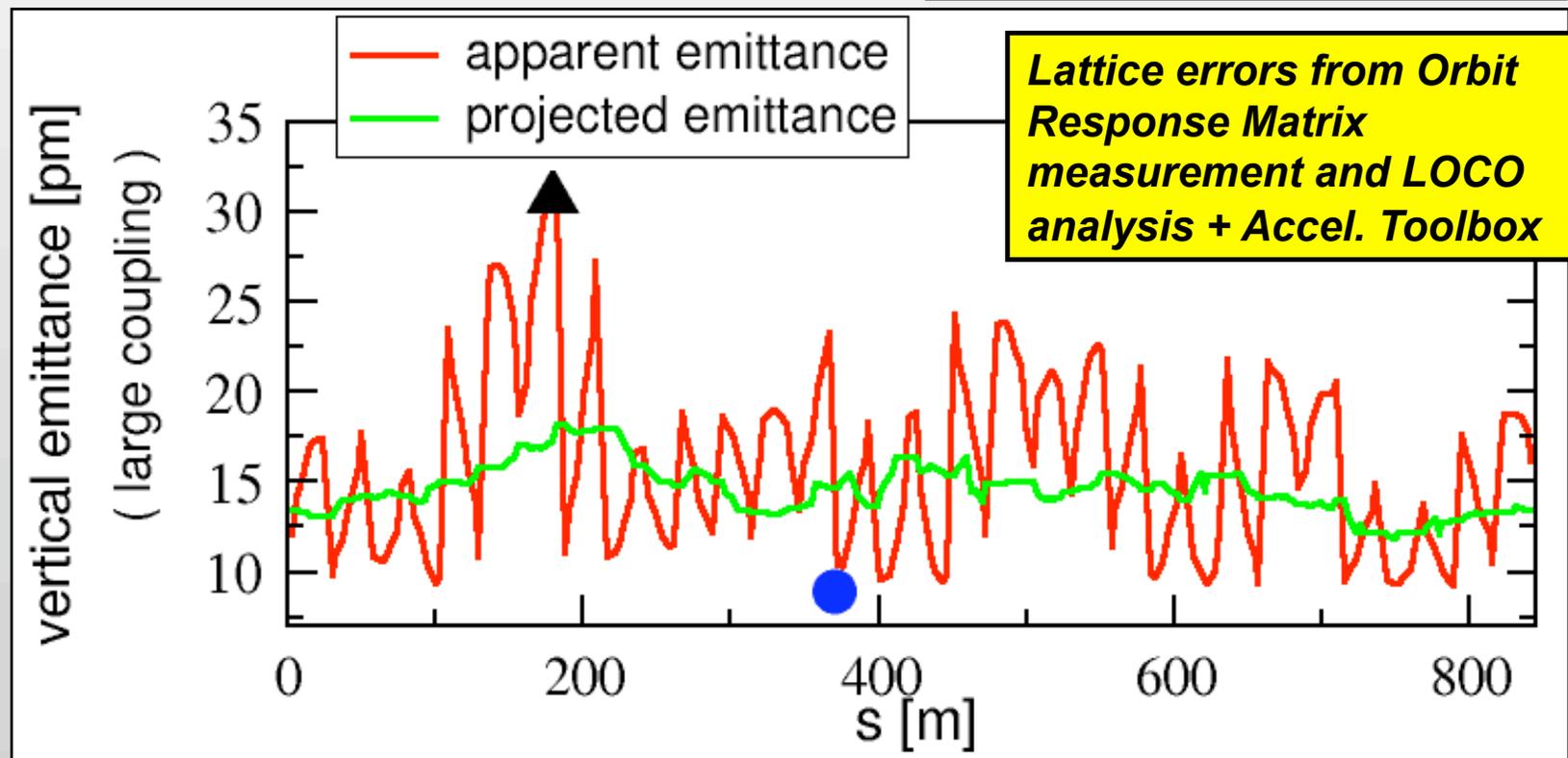
Measurable apparent emittance:

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Non measurable projected emittance:

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$\xi_v = 9 \text{ pm}$



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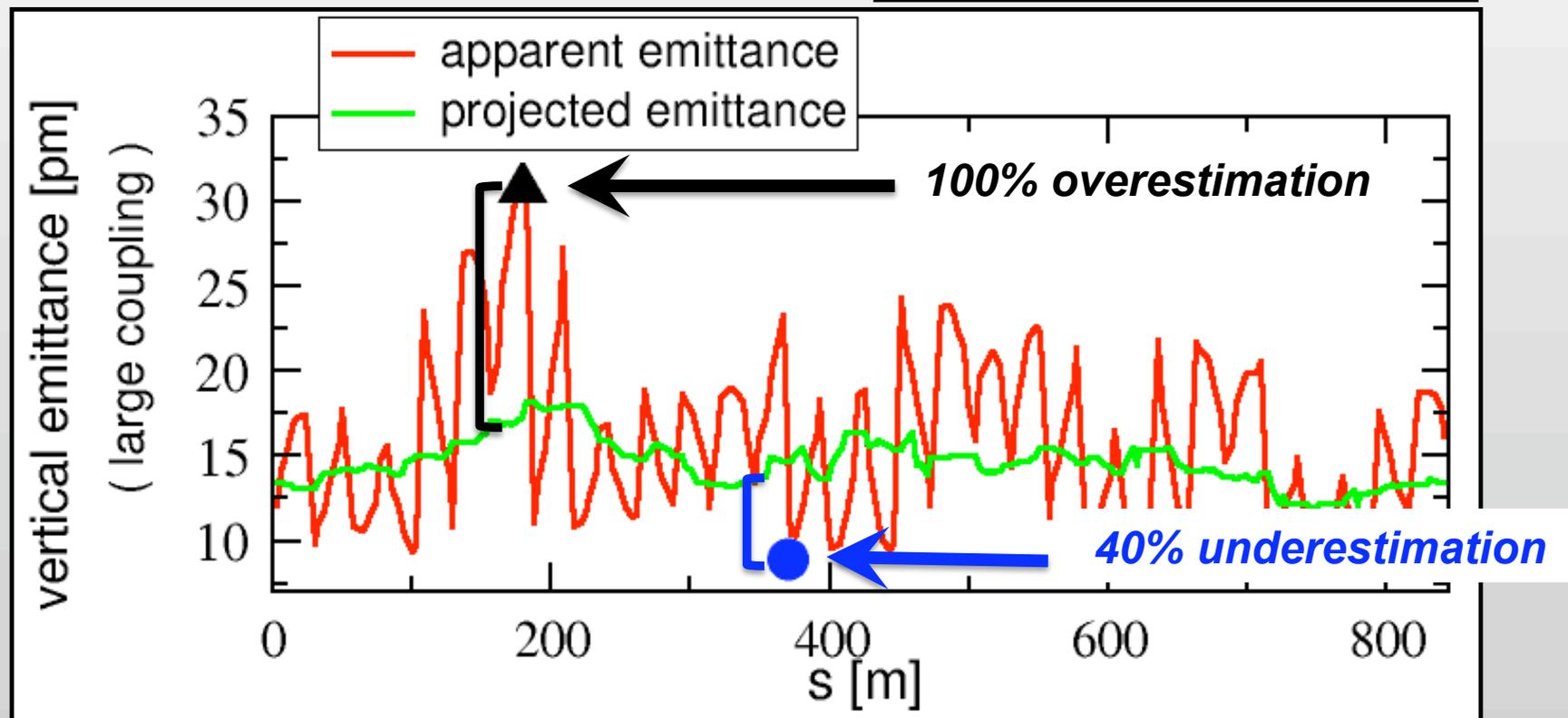
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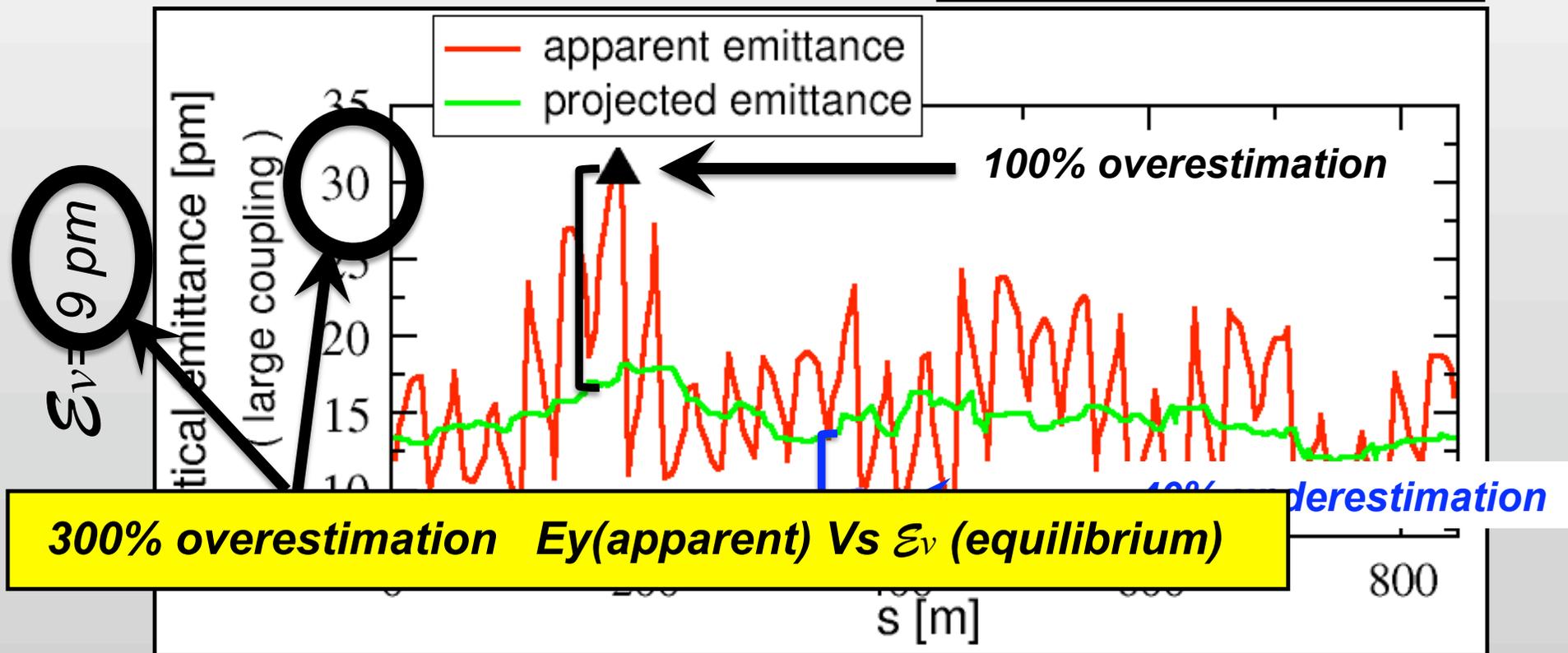
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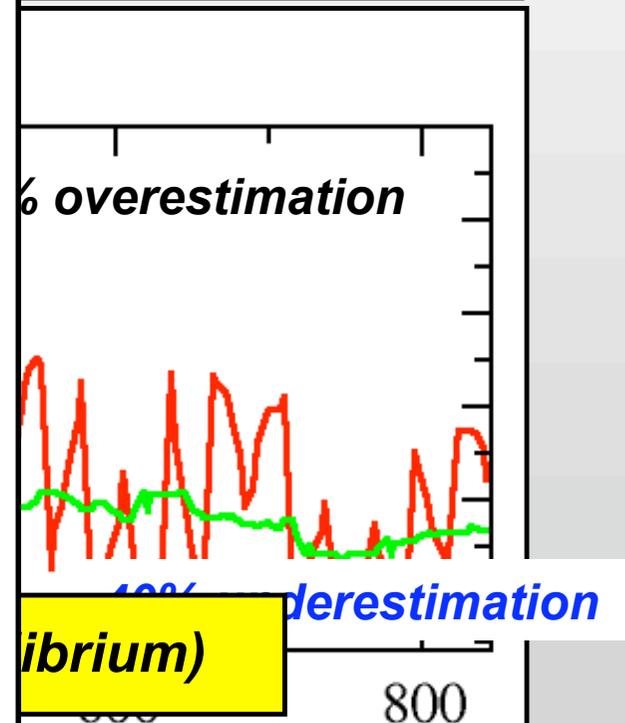
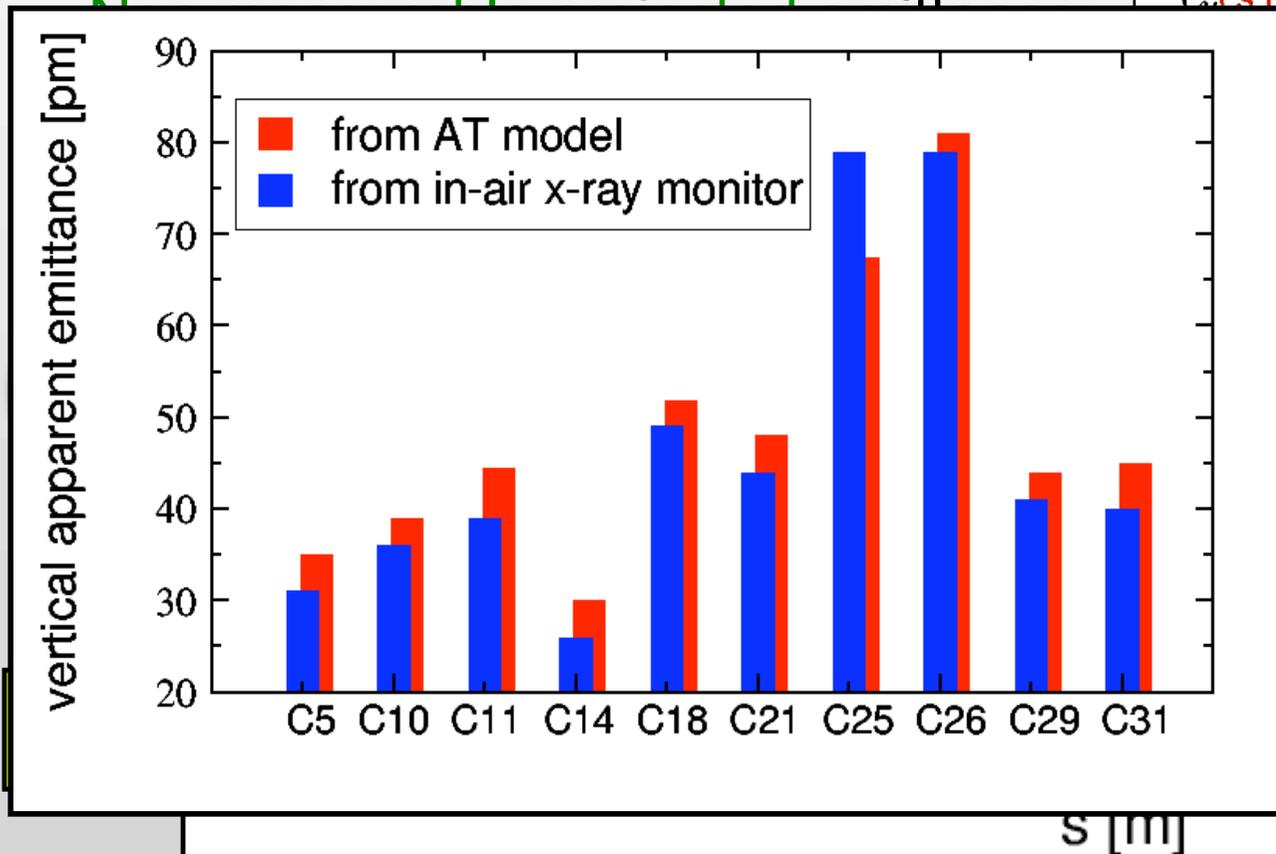


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$$\epsilon_{y,p}(s) = \sqrt{\sigma_y(s)\sigma_p(s) - \sigma_{yp}^2(s)}$$

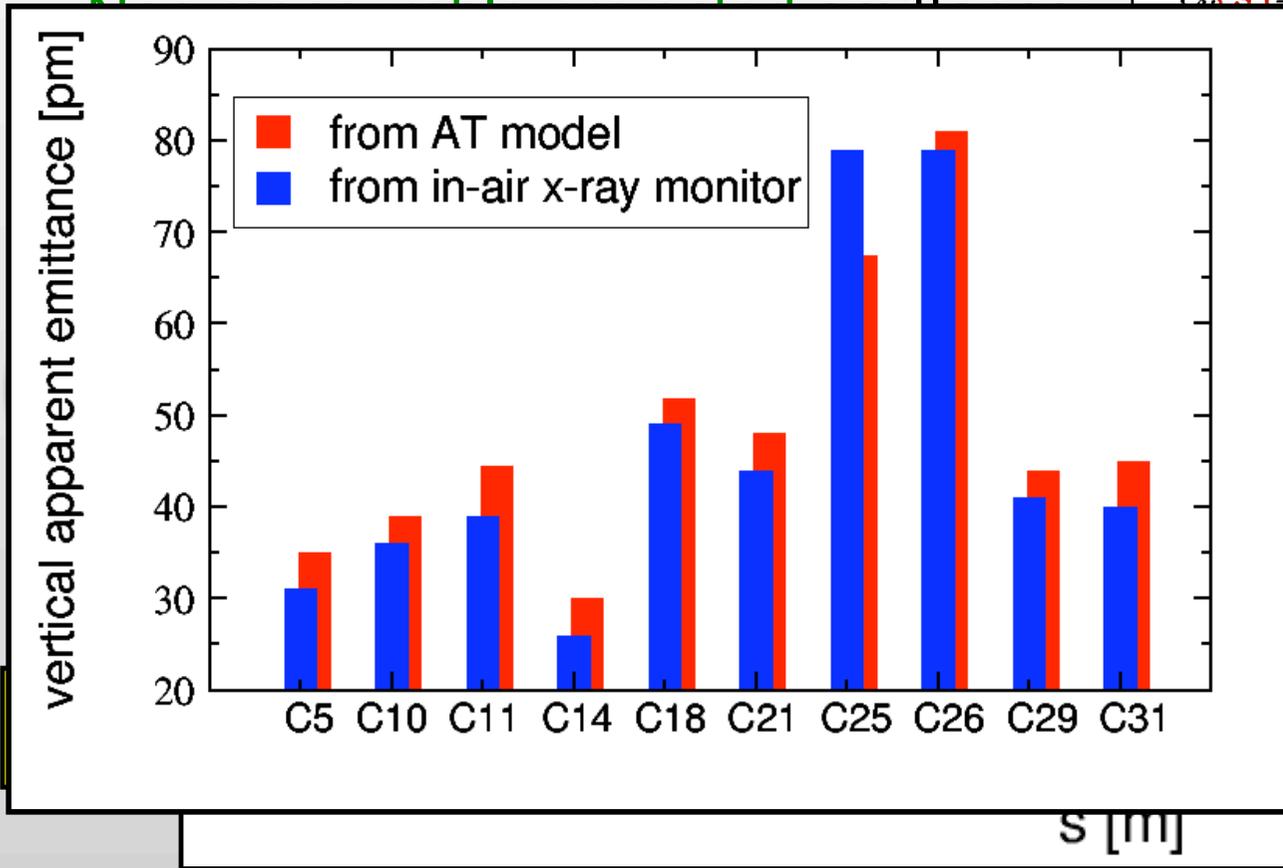


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**Which  
“vertical  
emittance”  
shall we  
choose,  
then?**



ibrium)

800

# Vertical emittances in the presence of coupling

Measurable apparent emittance:

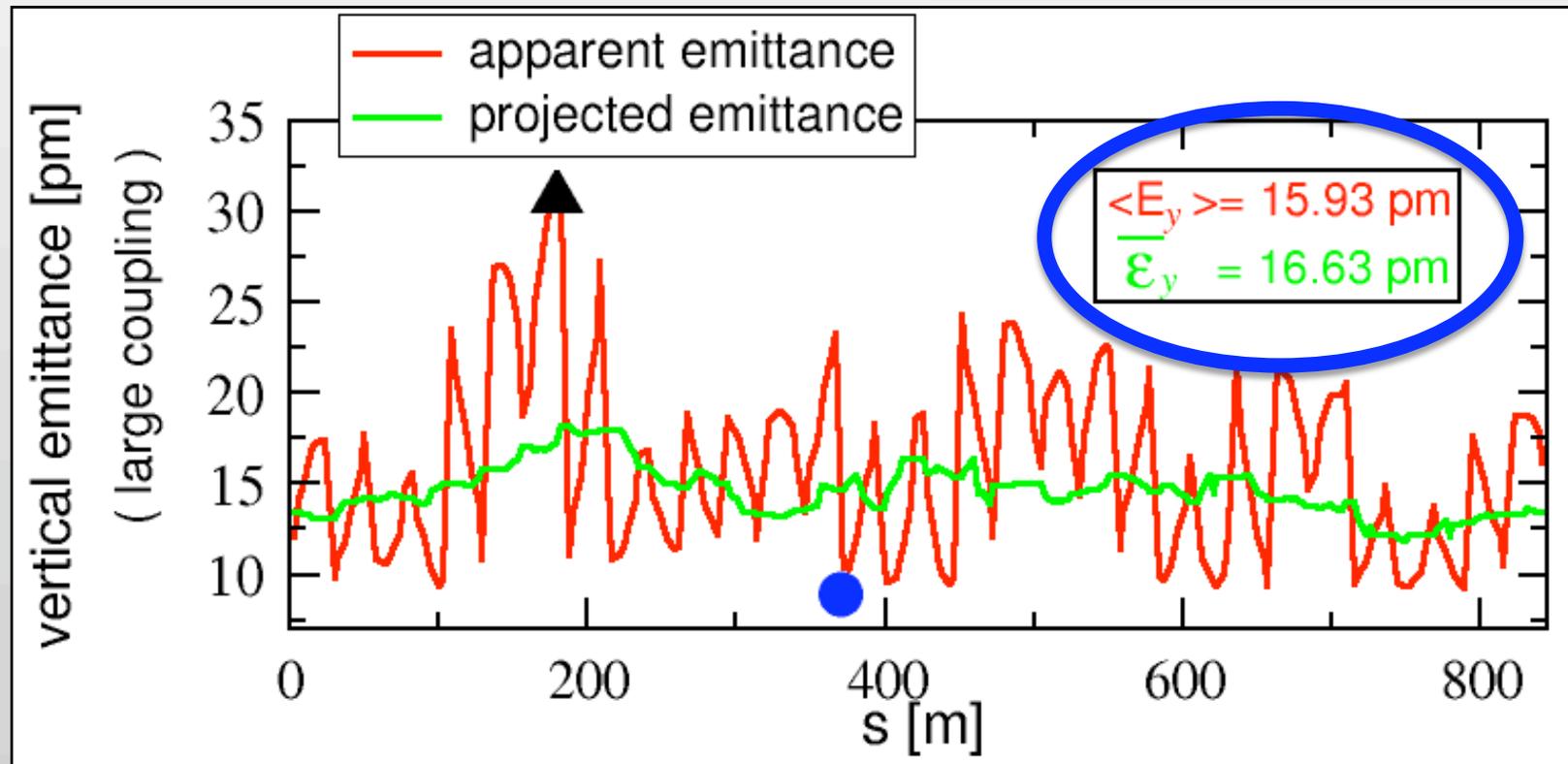
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Average over the ring

Non measurable projected emittance:

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$\epsilon_y = 9 \text{ pm}$



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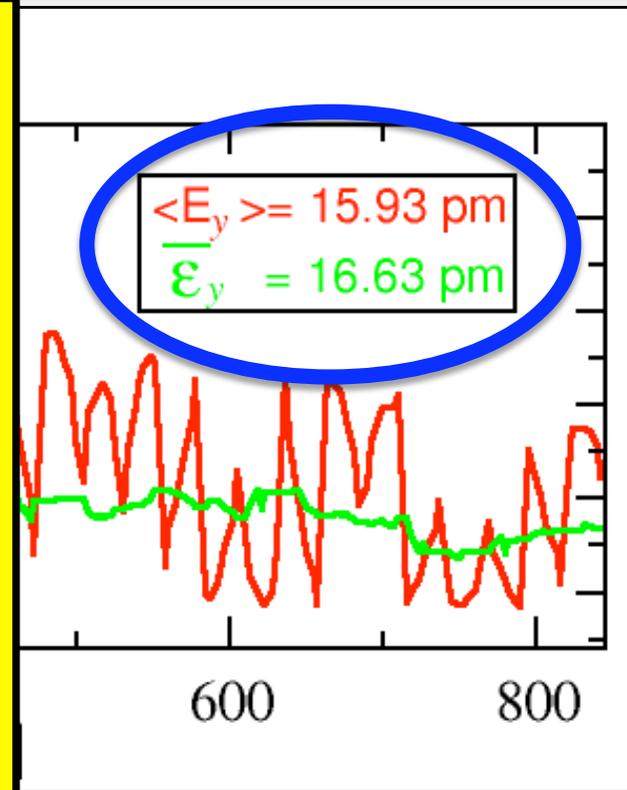
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## Definition of vertical emittance @ ESRF:

$$\bar{\epsilon}_y = \frac{1}{C} \oint \epsilon_y(s) ds \simeq \langle \mathbb{E}_y \rangle = \frac{1}{N} \sum_{n=1}^{n=N} \mathbb{E}_{y,n}$$

$$\delta\epsilon_y = \left( \sum_n (\mathbb{E}_{y,n} - \langle \mathbb{E}_y \rangle)^2 / N \right)^{1/2}$$

More details in PRSTAB-14-012804 (2011)



## Outlines

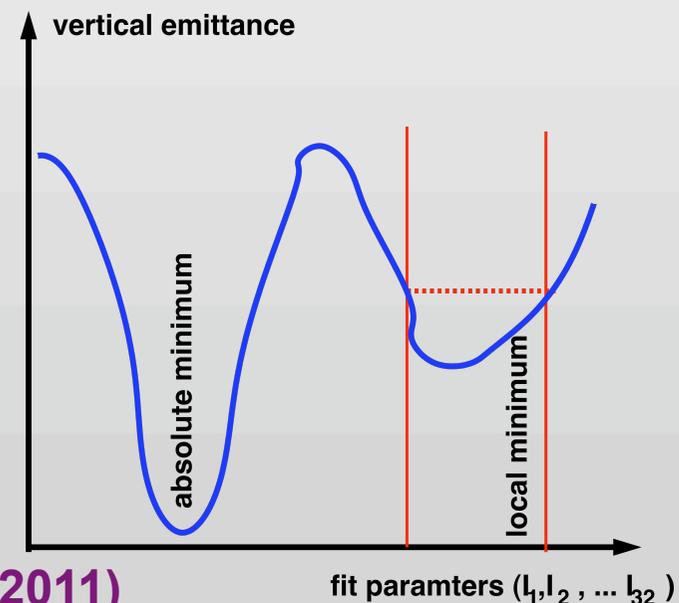
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## Vertical emittance reduction in the storage ring

- Coupling ( $x$ - $y$  &  $y$ - $\delta$ ) correction @ ESRF SR is carried out with independent skew quadrupoles ( $V=J_1xy$ ) distributed along the machine.

# Vertical emittance reduction in the storage ring

- Coupling (x-y & y- $\delta$ ) correction @ ESRF SR is carried out with independent skew quadrupoles ( $V=J_1xy$ ) distributed along the machine.
- Until 2009 their currents were computed by trying to **minimize the apparent vertical emittance** along the machine  $\rightarrow$  **non-linear fitting**
  - time consuming
  - may get stuck into a local minimum value



Details and formulas in PRSTAB-14-012804 (2011)

## Vertical emittance reduction in the storage ring

- Coupling (x-y & y- $\delta$ ) correction @ ESRF SR is carried out with independent skew quadrupoles ( $V=J_1xy$ ) distributed along the machine.
- As of 2010 their currents are computed by trying to **minimize** other quantities: **Resonance Driving Terms**, obtained from orbit measurements (for x-y) and **vert. disp.** (for y- $\delta$ ). This automatically minimizes vertical emittance → **linear fitting**
  - faster
  - gets directly to absolute minimum value

Details and formulas in PRSTAB-14-012804 (2011)

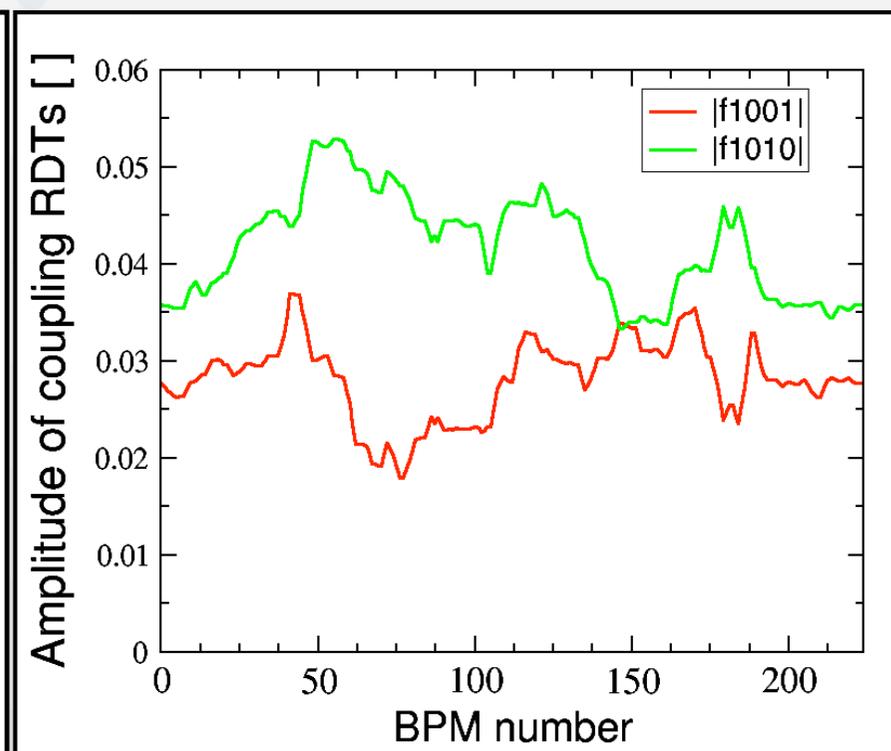
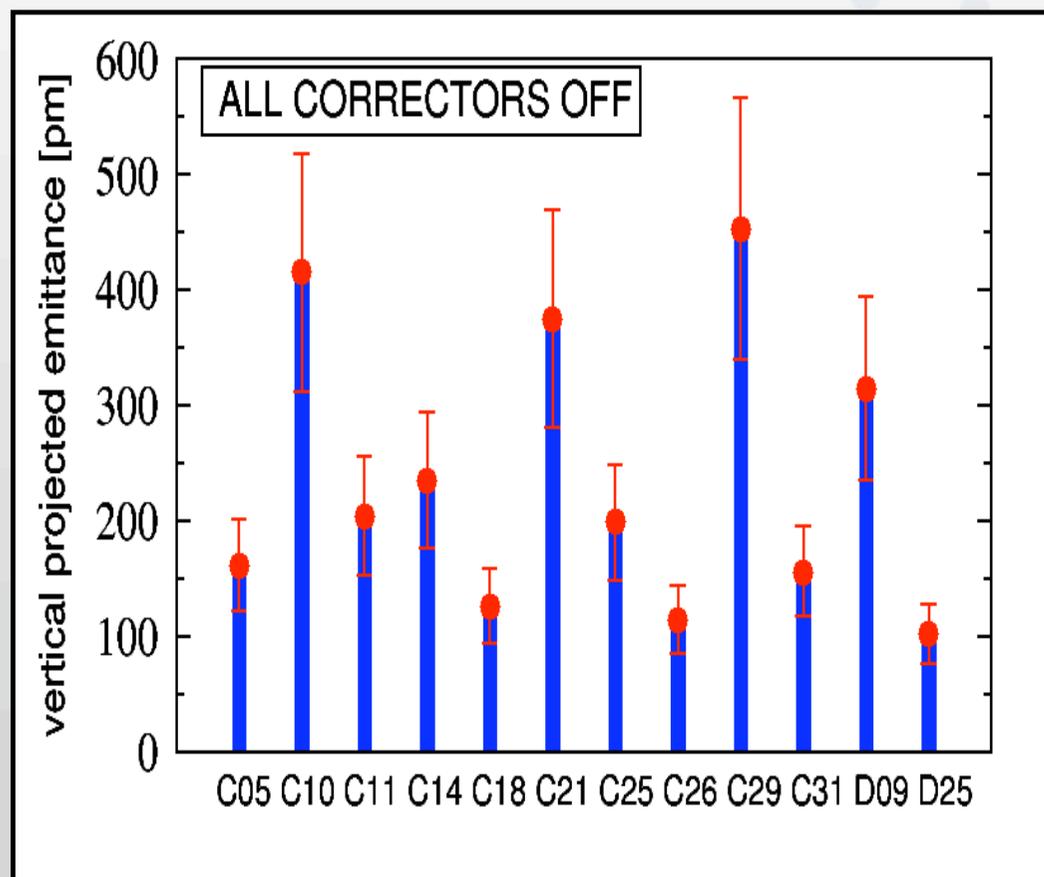
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# 2010: Application in the ESRF storage ring

First RDT correction: January 16<sup>th</sup> 2010

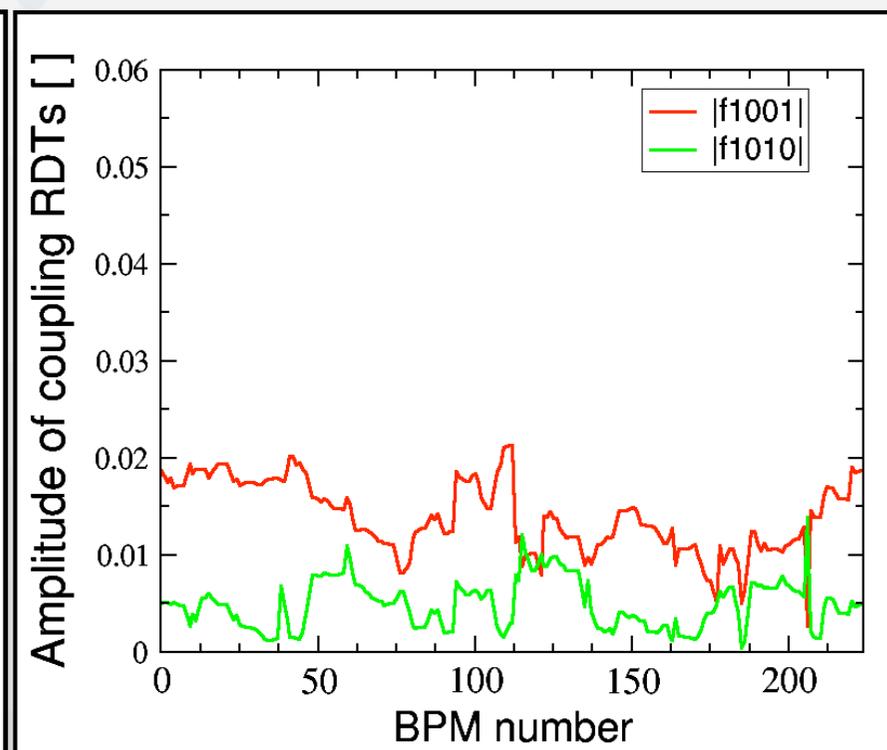
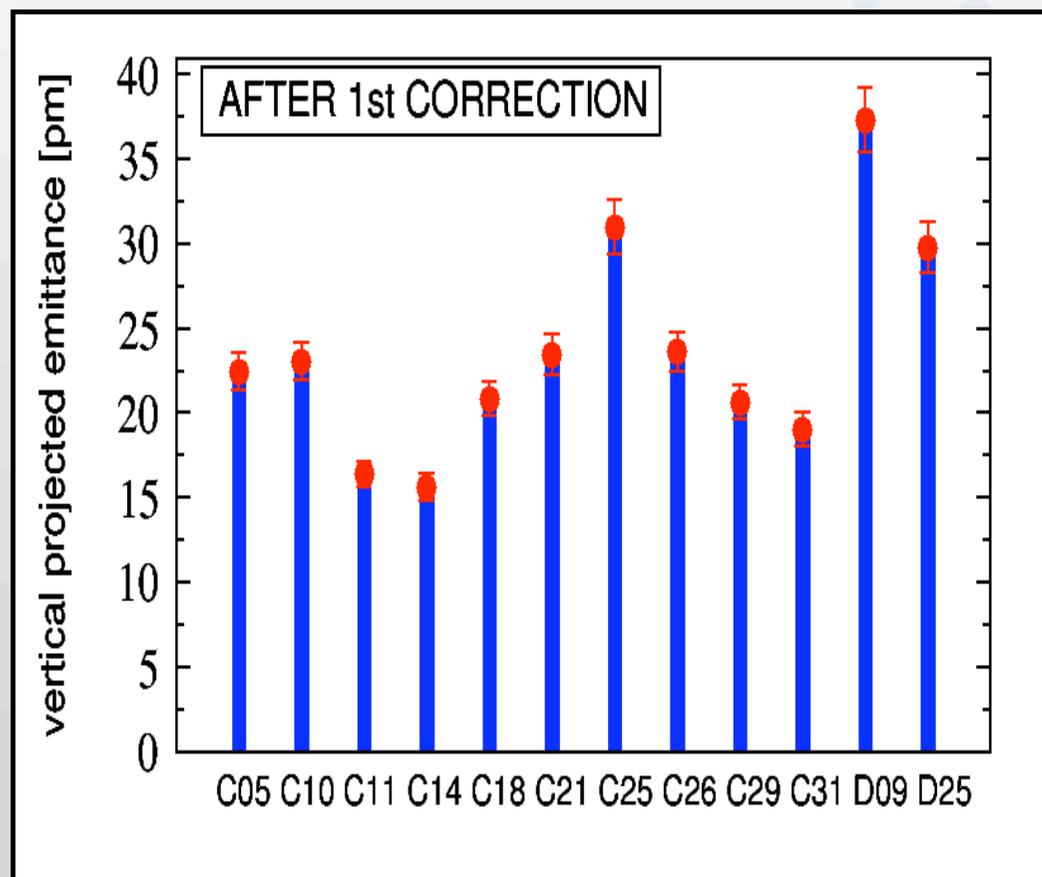
All skew correctors OFF:  $\bar{\epsilon}_y \pm \delta\epsilon_y = 237 \pm 122 \text{ pm}$



# 2010: Application in the ESRF storage ring

First RDT correction: January 16<sup>th</sup> 2010

1<sup>st</sup> ORM measur. and RDT correction:  $\bar{\epsilon}_y \pm \delta\epsilon_y = 23.6 \pm 6.3 \text{ pm}$

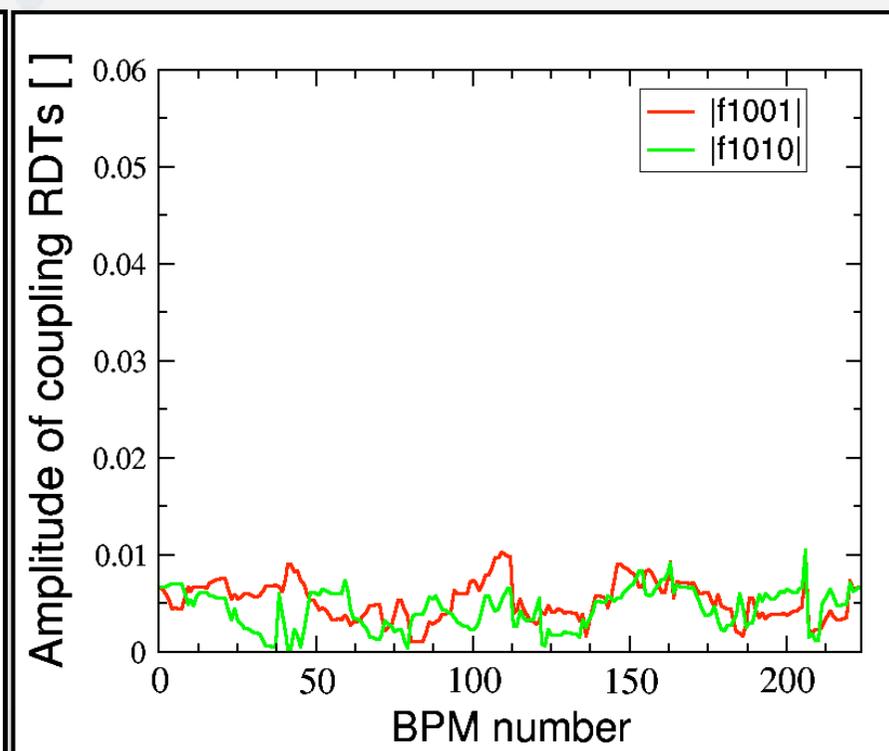
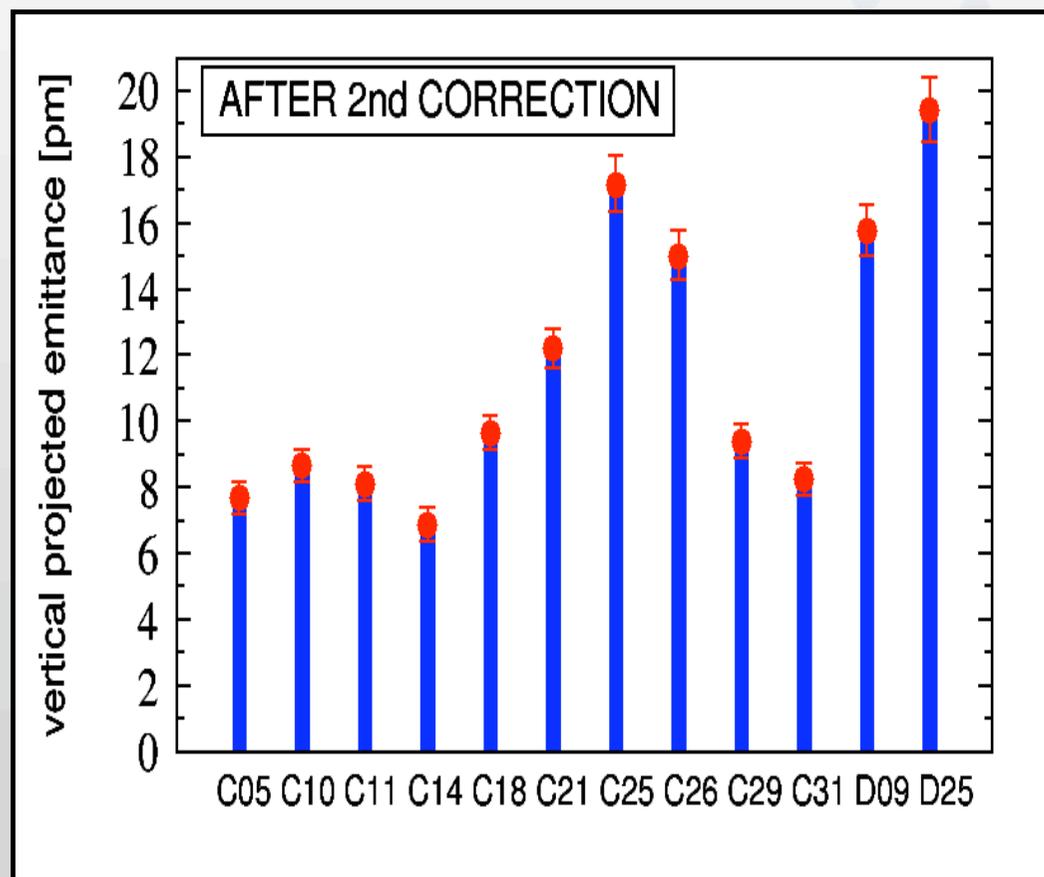


~20 min. for ORM  
a few seconds for RDT correction

# 2010: Application in the ESRF storage ring

First RDT correction: January 16<sup>th</sup> 2010

2<sup>nd</sup> ORM measur. and RDT correction:  $\bar{\epsilon}_y \pm \delta\epsilon_y = 11.5 \pm 4.3 \text{ pm}$

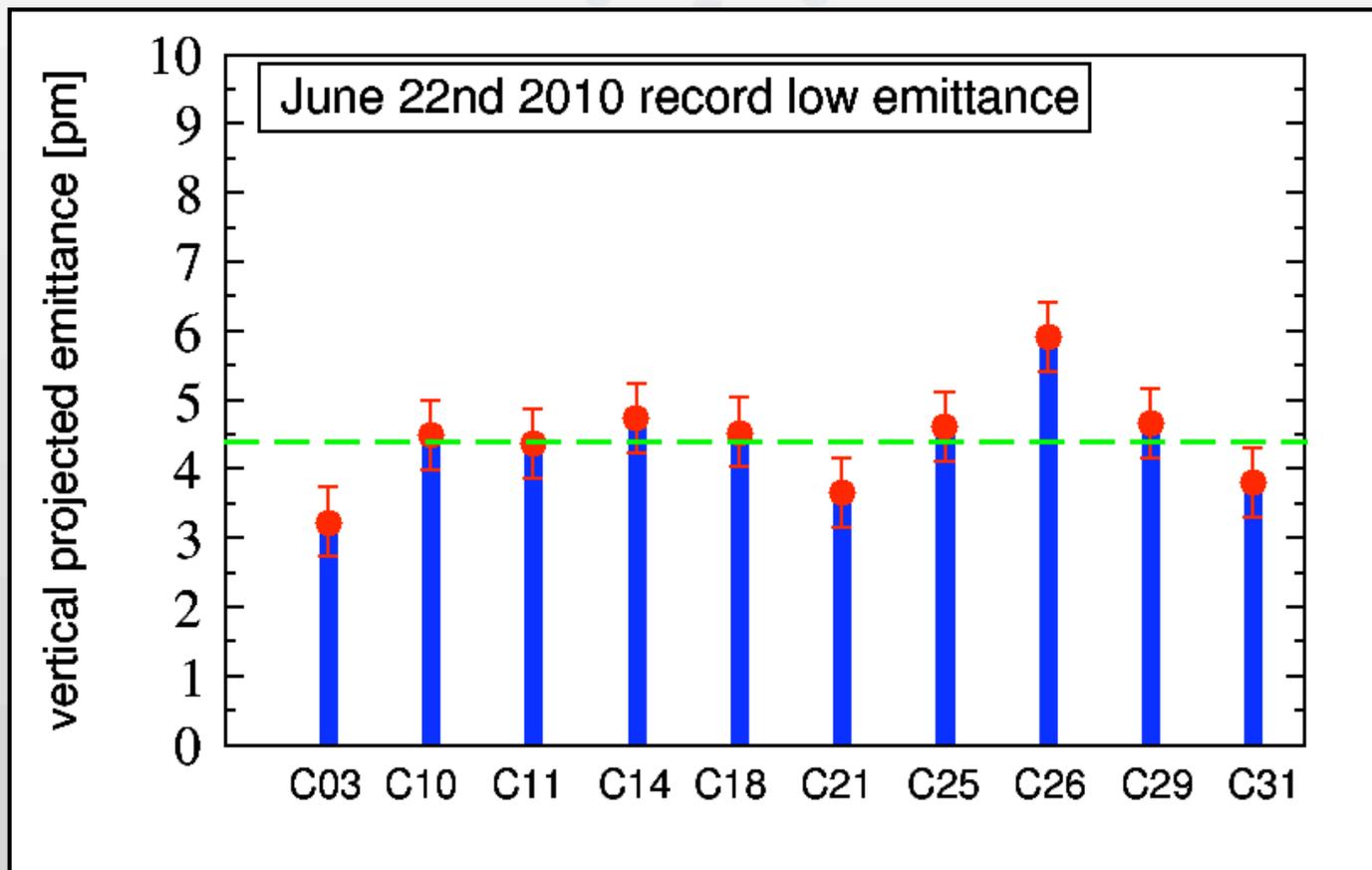


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# 2010: Application in the ESRF storage ring

ESRF 2010 **temporary** record-low vertical emittance: June 22<sup>nd</sup>

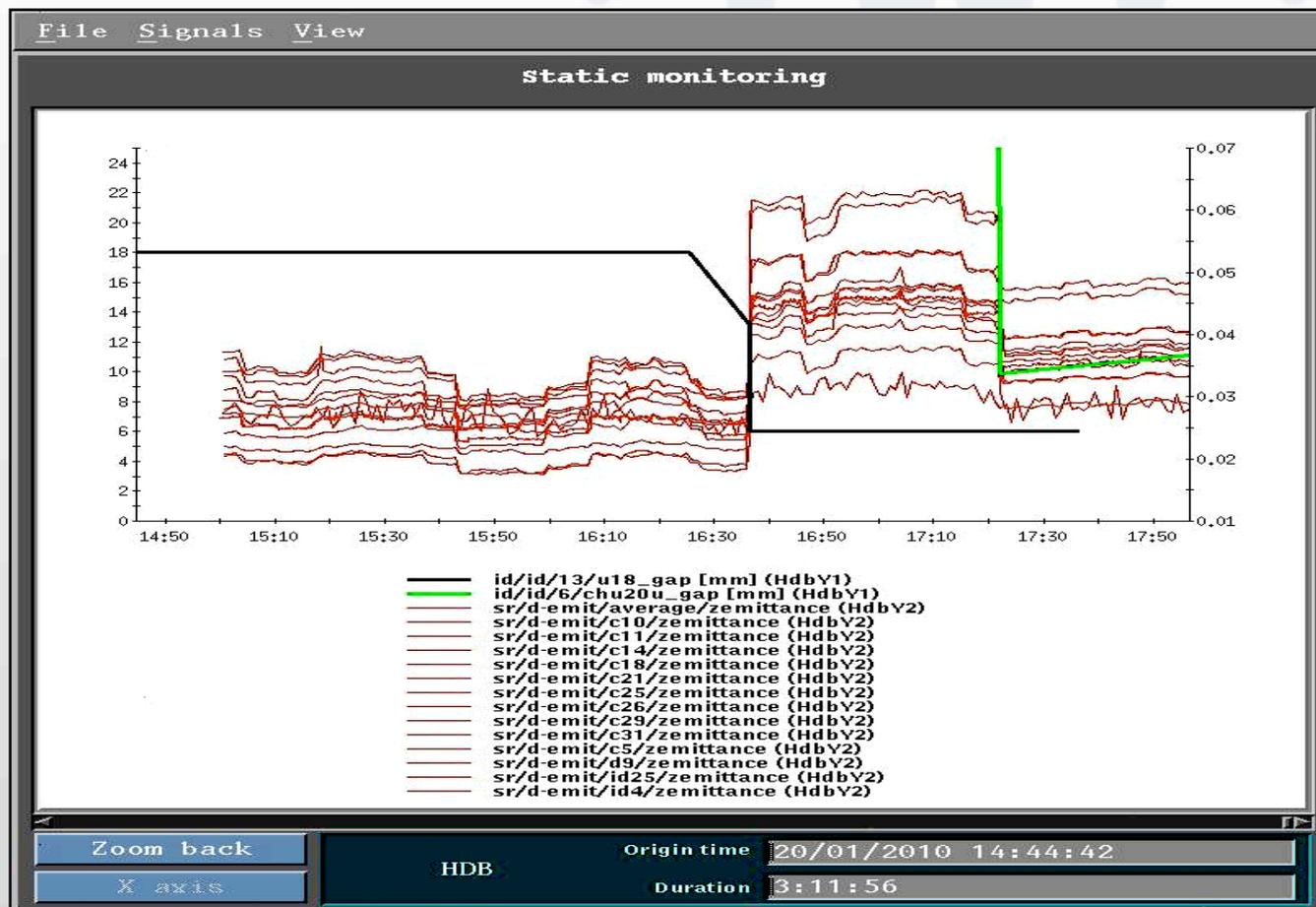
At ID gaps open:  $\bar{\epsilon}_y \pm \delta\epsilon_y = 4.4 \pm 0.7$  pm



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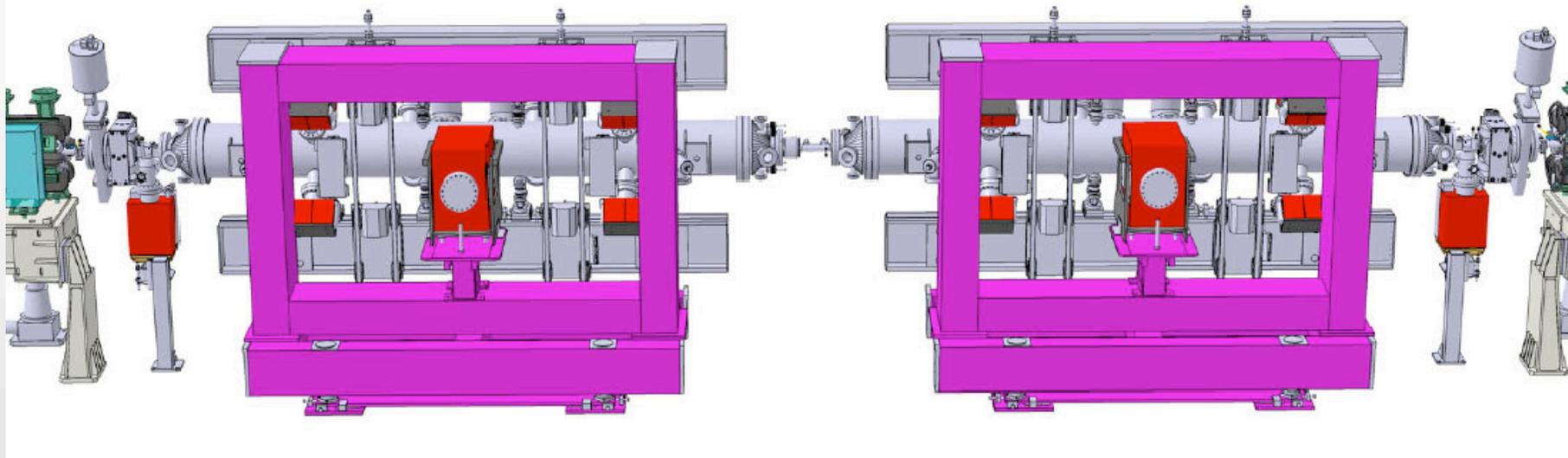
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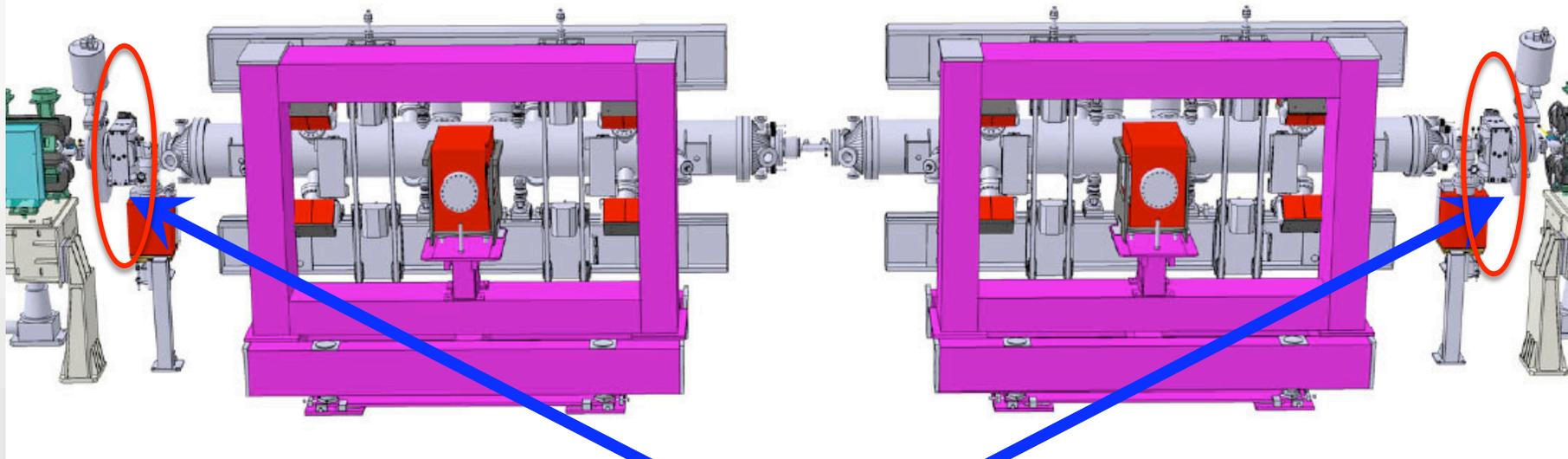
- Low coupling may not be preserved during beam delivery because of continuous changes of ID gaps that vary coupling along the ring

Apparent emittance measured at 13 monitors (red) on Jan. 20<sup>th</sup> 2010, during beam delivery and movements of two ID gaps (black & green)

## 2010: Preserving vertical emittance during beam delivery

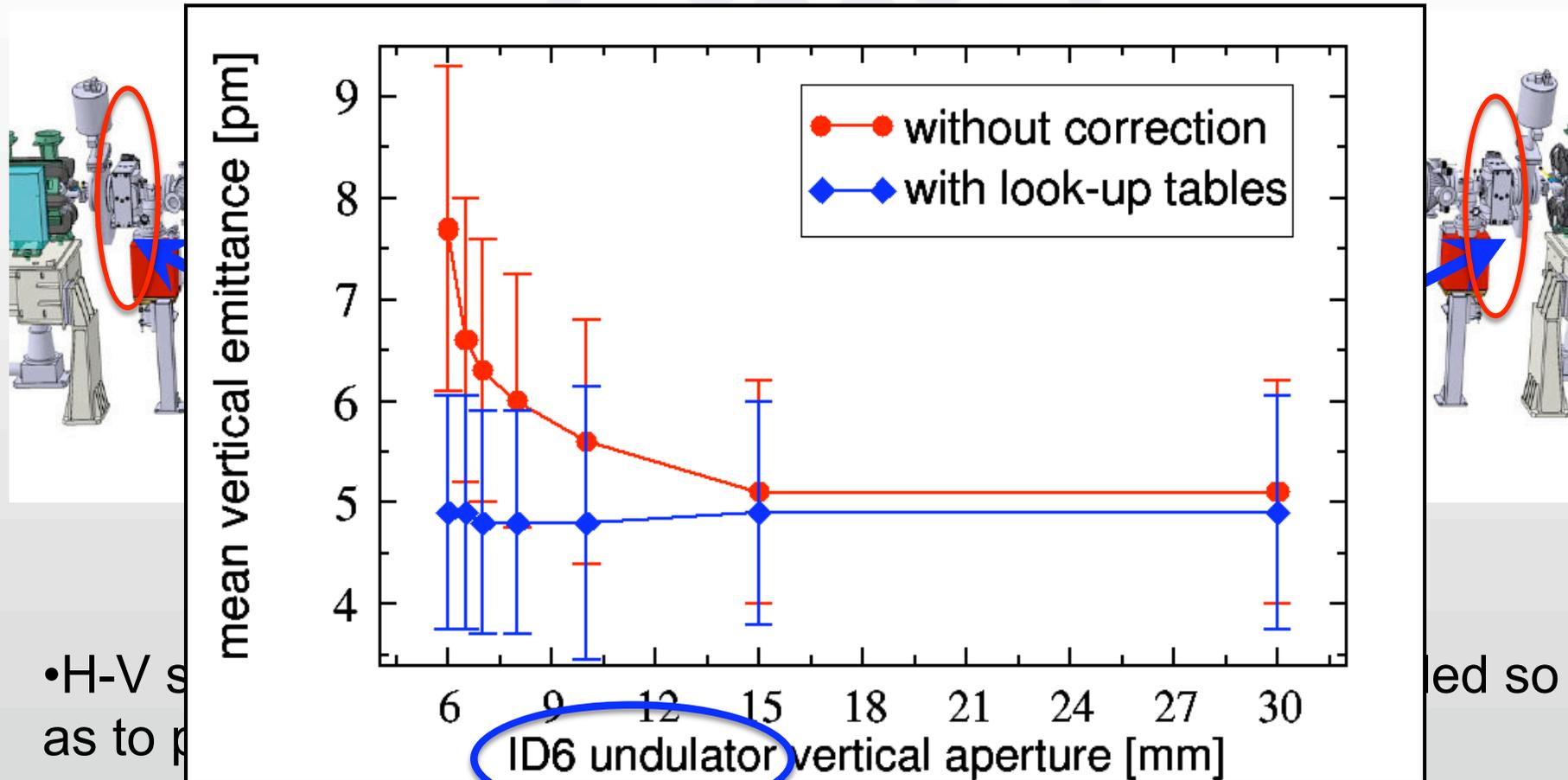


## 2010: Preserving vertical emittance during beam delivery



- H-V steerers at the ends of an ID straight section were cabled so as to provide skew quad fields.
- Look-up tables (corrector currents Vs ID gap aperture) were defined so as to preserve the vertical emittance at any gap value.

## 2010: Preserving vertical emittance during beam delivery

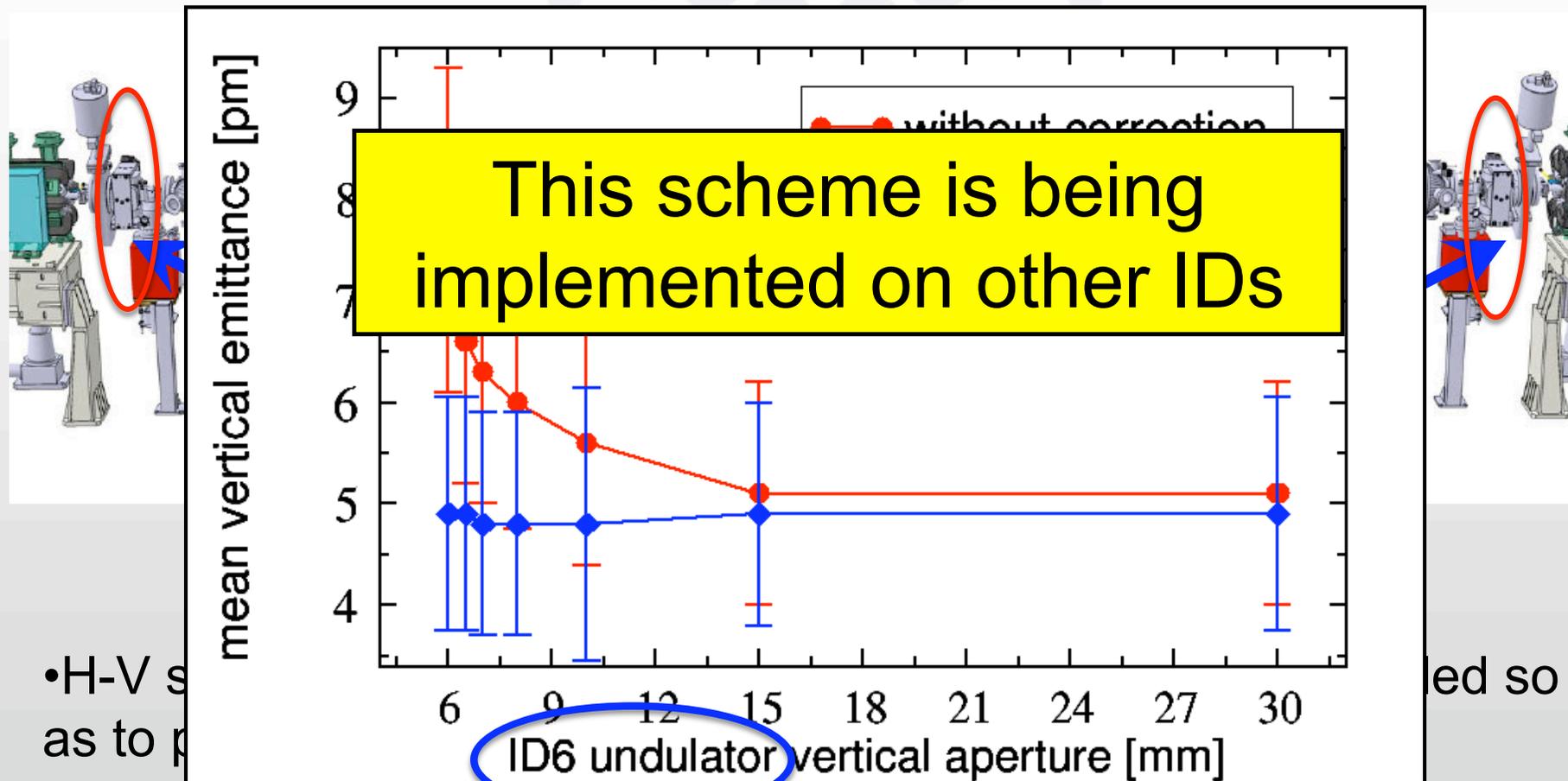


•H-V s  
as to p

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## 2010: Preserving vertical emittance during beam delivery



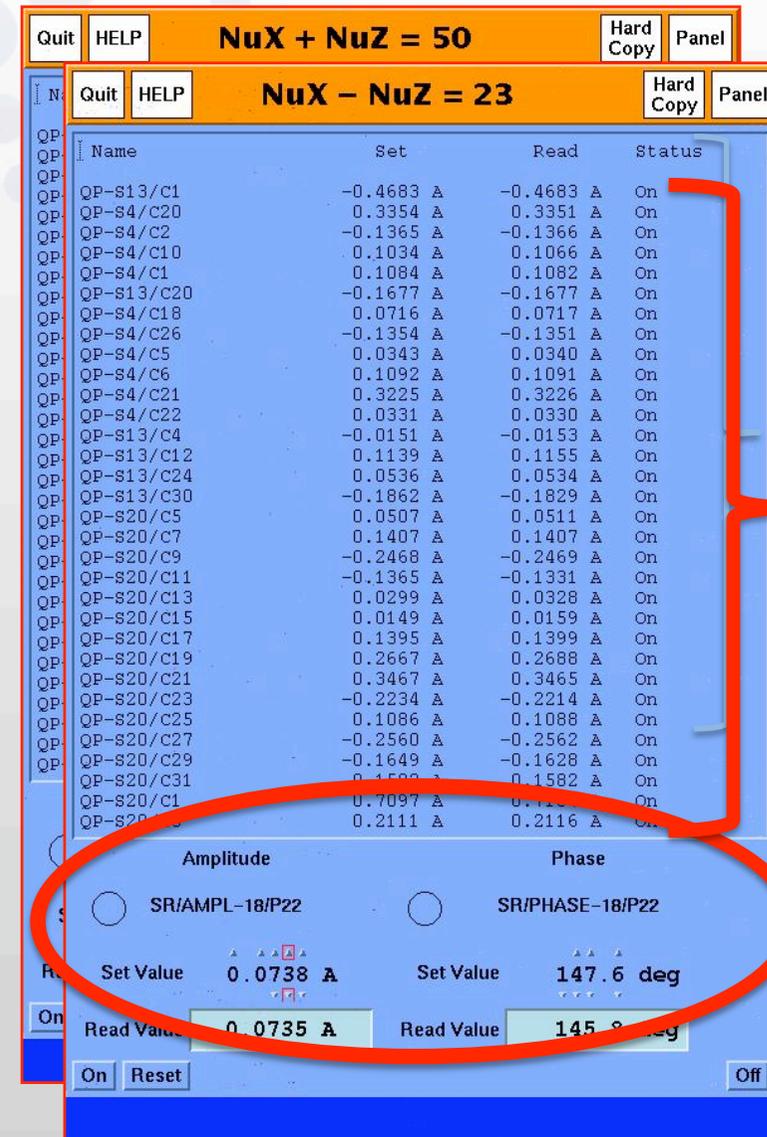
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# 2010: Preserving vertical emittance during beam delivery

- Coupling may be represented by two complex vectors (for the sum and difference resonances respectively)  $C_{\pm} = |A_{\pm}| e^{i\phi_{\pm}}$ .
- In the ESRF storage ring, on top of the RDT **static** correction,  $C_{\pm}$  may be **dynamically** varied in order to catch up coupling variations induced by ID gap movements.
- A new software **automatically** minimizes  $C_{\pm}$  by looking at the average vertical emittance

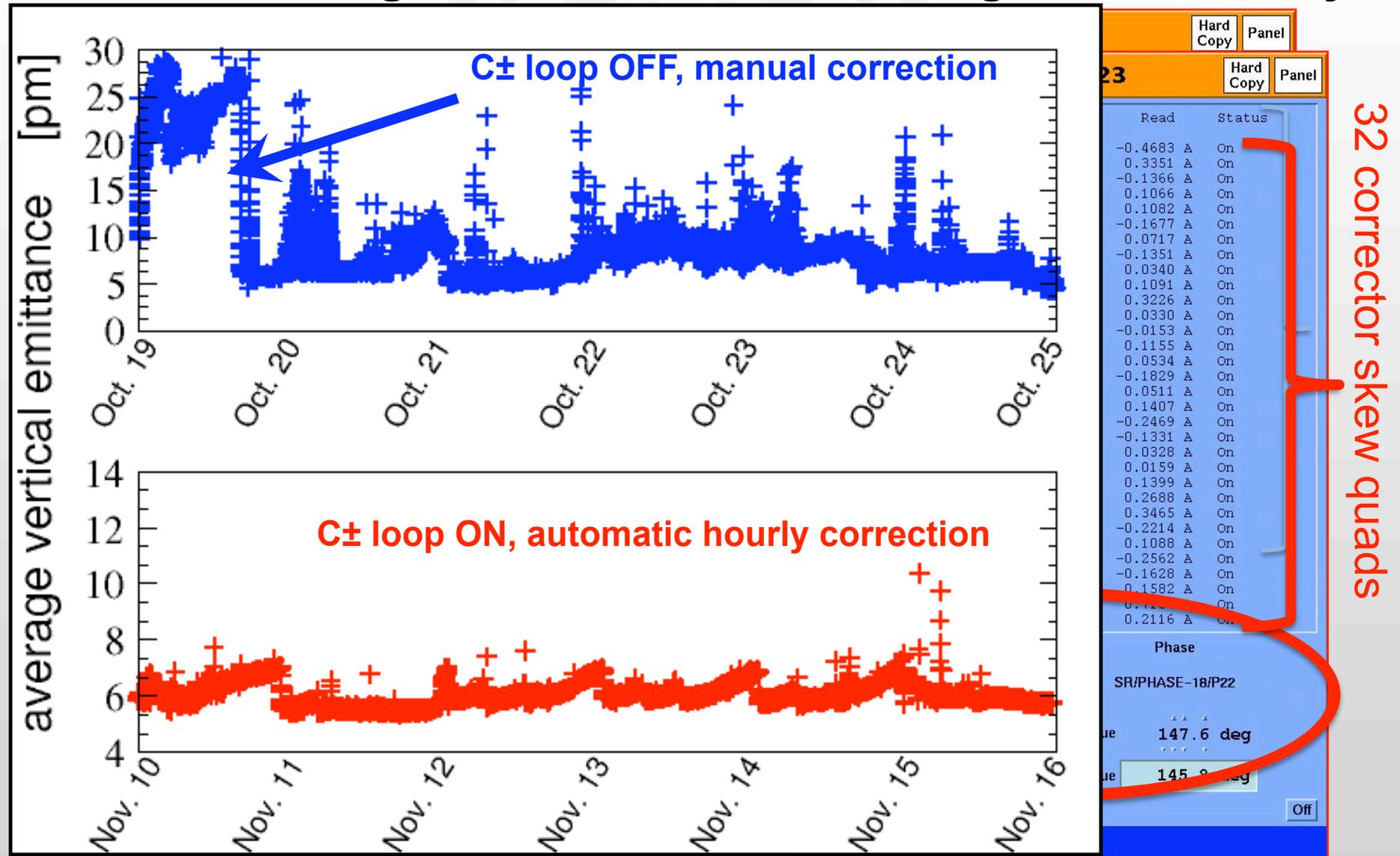


The screenshot shows a control interface for the NuX + NuZ = 50 system. It features a table of corrector skew quads with columns for Name, Set, Read, and Status. A red bracket on the right side of the table indicates that the 32 rows listed are 32 corrector skew quads. Below the table, there are controls for Amplitude and Phase, with a red oval highlighting the Set Value fields: 0.0738 A for Amplitude and 147.6 deg for Phase. The Read Value fields show 0.0735 A and 145.8 deg.

Name	Set	Read	Status
QP-S13/C1	-0.4683 A	-0.4683 A	On
QP-S4/C20	0.3354 A	0.3351 A	On
QP-S4/C2	-0.1365 A	-0.1366 A	On
QP-S4/C10	0.1034 A	0.1066 A	On
QP-S4/C1	0.1084 A	0.1082 A	On
QP-S13/C20	-0.1677 A	-0.1677 A	On
QP-S4/C18	0.0716 A	0.0717 A	On
QP-S4/C26	-0.1354 A	-0.1351 A	On
QP-S4/C5	0.0343 A	0.0340 A	On
QP-S4/C6	0.1092 A	0.1091 A	On
QP-S4/C21	0.3225 A	0.3226 A	On
QP-S4/C22	0.0331 A	0.0330 A	On
QP-S13/C4	-0.0151 A	-0.0153 A	On
QP-S13/C12	0.1139 A	0.1155 A	On
QP-S13/C24	0.0536 A	0.0534 A	On
QP-S13/C30	-0.1862 A	-0.1829 A	On
QP-S20/C5	0.0507 A	0.0511 A	On
QP-S20/C7	0.1407 A	0.1407 A	On
QP-S20/C9	-0.2468 A	-0.2469 A	On
QP-S20/C11	-0.1365 A	-0.1331 A	On
QP-S20/C13	0.0299 A	0.0328 A	On
QP-S20/C15	0.0149 A	0.0159 A	On
QP-S20/C17	0.1395 A	0.1399 A	On
QP-S20/C19	0.2667 A	0.2688 A	On
QP-S20/C21	0.3467 A	0.3465 A	On
QP-S20/C23	-0.2234 A	-0.2214 A	On
QP-S20/C25	0.1086 A	0.1088 A	On
QP-S20/C27	-0.2560 A	-0.2562 A	On
QP-S20/C29	-0.1649 A	-0.1628 A	On
QP-S20/C31	0.1582 A	0.1582 A	On
QP-S20/C1	0.7097 A	0.7111 A	On
QP-S20/C2	0.2111 A	0.2116 A	On

32 corrector skew quads

# 2010: Preserving vertical emittance during beam delivery



## Outlines

- Vertical emittances in the presence of coupling
- Coupling correction via Resonance Driving Terms
- 2010: Application in the ESRF storage ring
- 2010: Preserving small vertical emittance during beam delivery
- 2011: Towards ultra-small vertical emittance

# 2011: Towards ultra-small vertical emittance

- In the ESRF SR corrector coils (dipole, quad, skew quad & sextupole) are installed on the yokes of the main sextupoles (7x32).

# 2011: Towards ultra-small vertical emittance

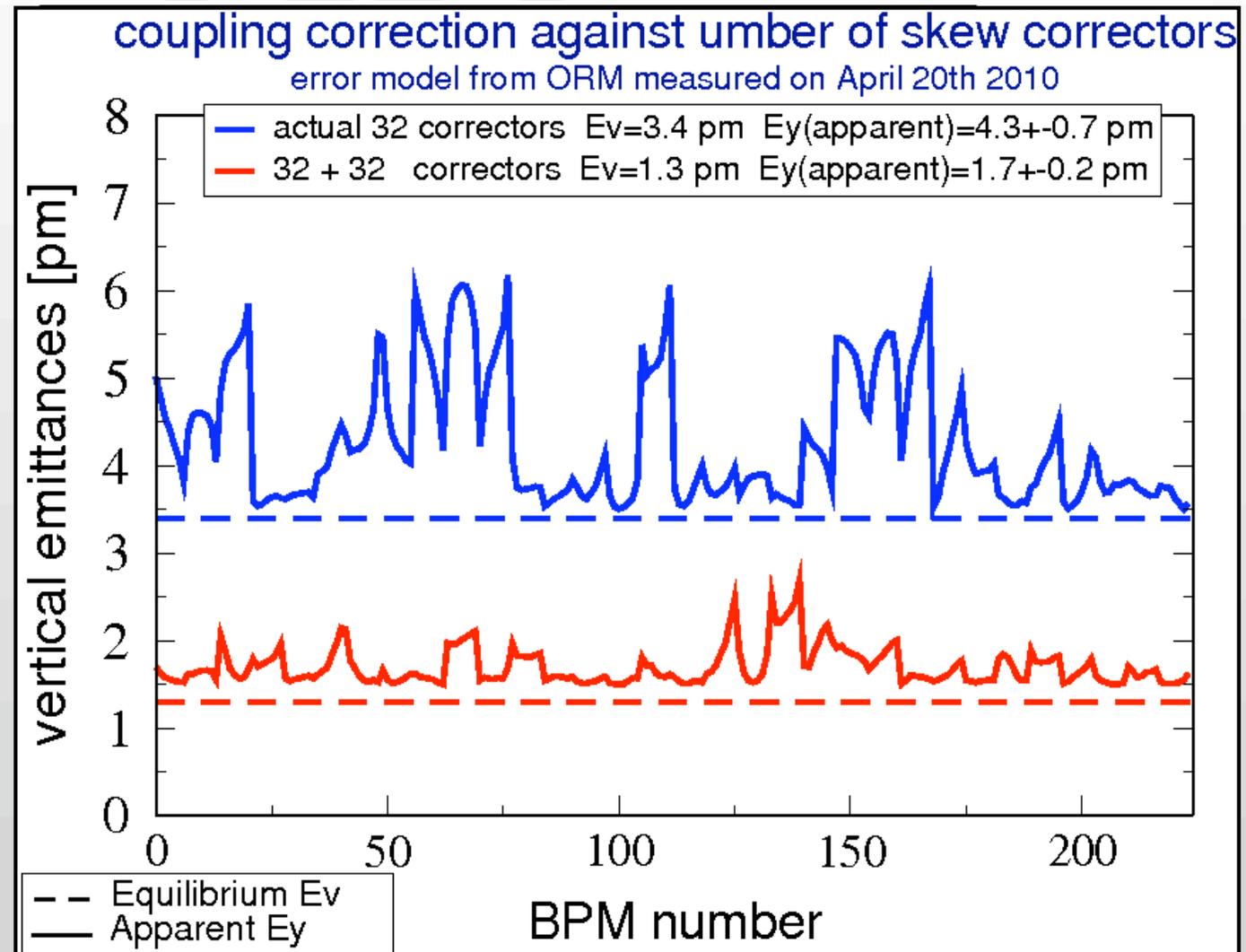
- In the ESRF SR corrector coils (dipole, quad, skew quad & sextupole) are installed on the yokes of the main sextupoles (7x32).
- Until 2010, 52 coils were unused.

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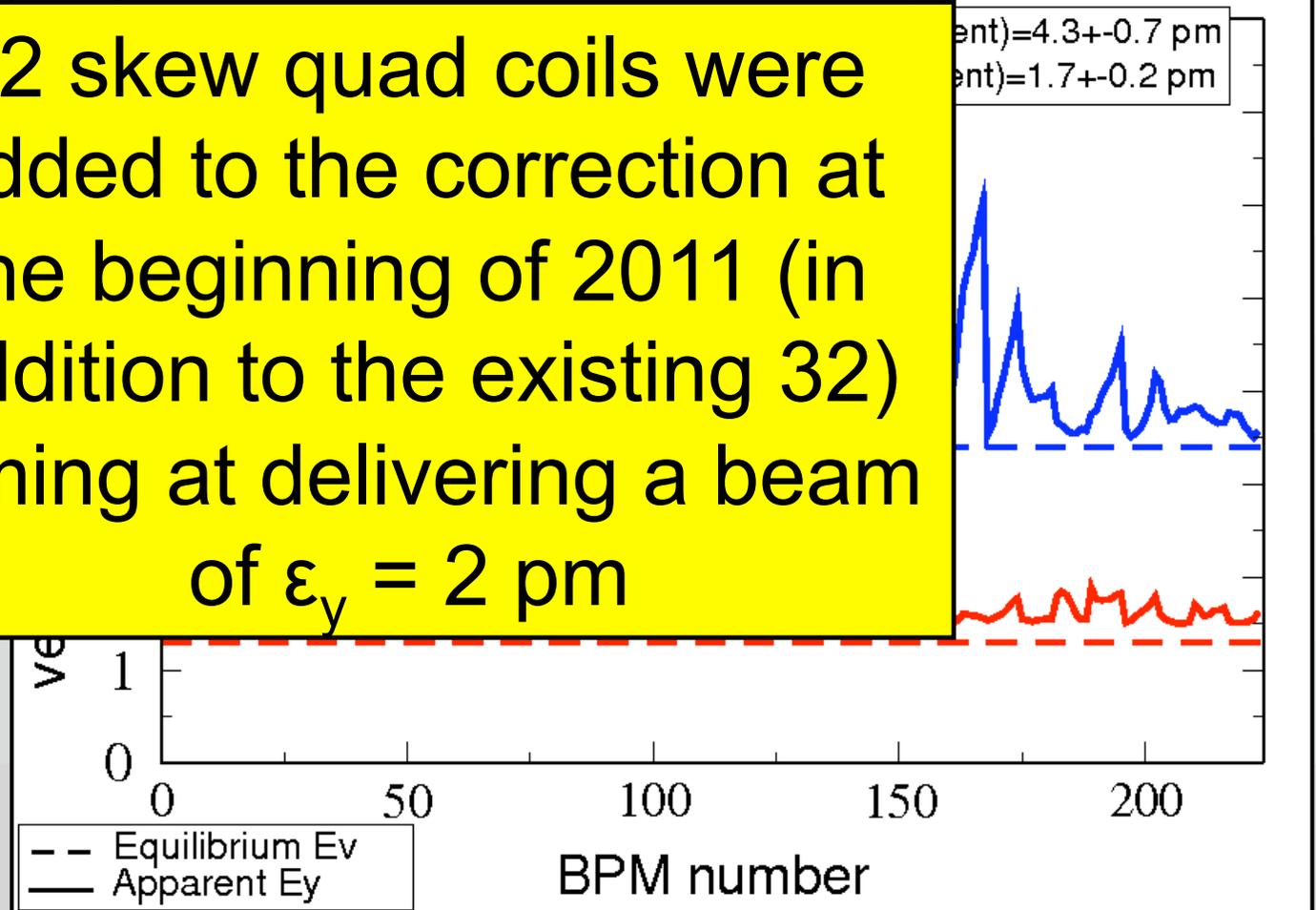


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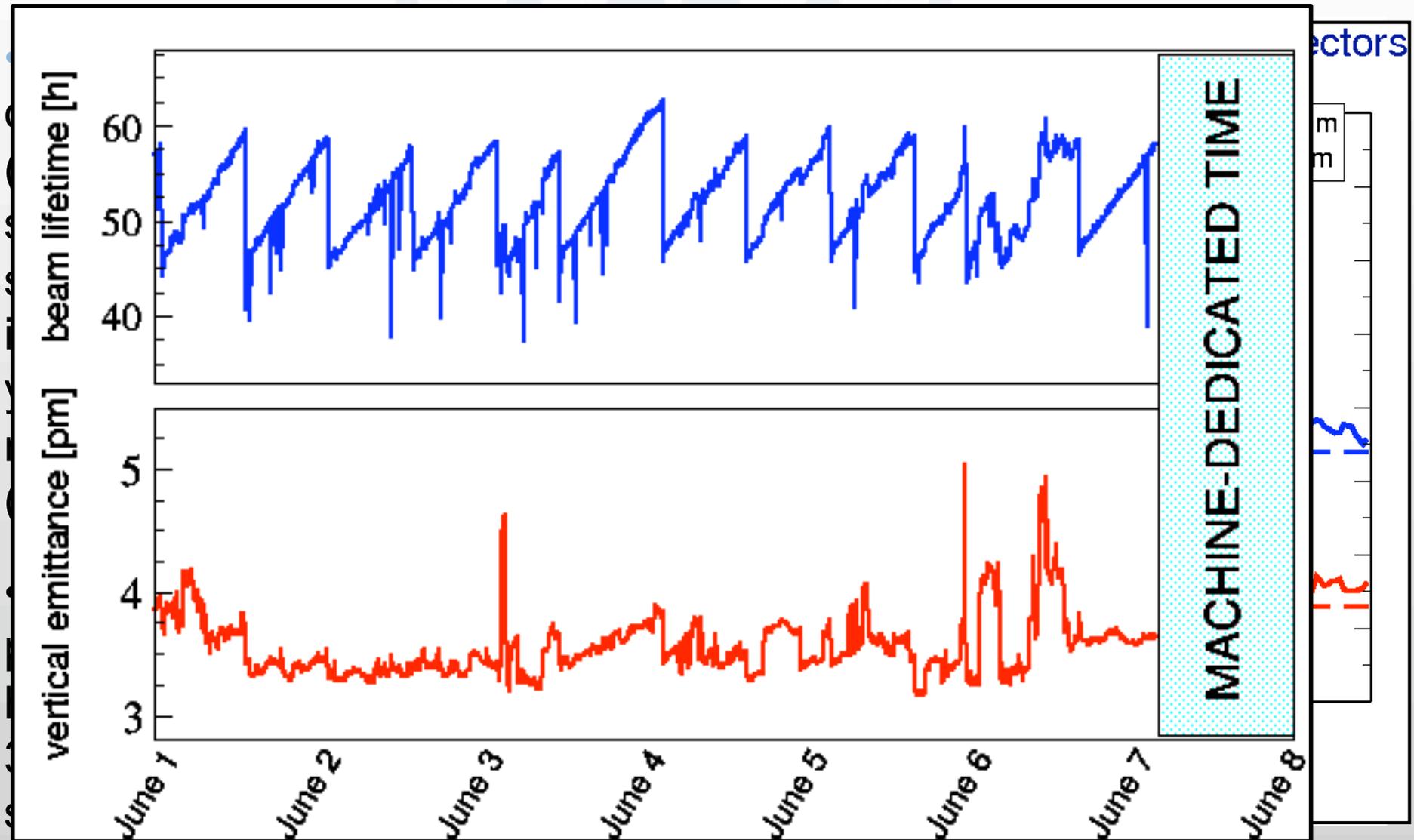
- At the ESRF SR corrector coils (dipole, quad skew quad & sextupole) are installed on the yokes of the sextupoles (7)
- Until 2010, 5 coils were un
- Possibility of increasing the number of corrector skew quads (from 32).

coupling correction against number of skew correctors  
error model from ORM measured on April 20th 2010

32 skew quad coils were added to the correction at the beginning of 2011 (in addition to the existing 32) aiming at delivering a beam of  $\epsilon_y = 2 \text{ pm}$



# 2011: Towards ultra-small vertical emittance



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ESRF 2011 record low ( $\epsilon_x=4.2$  nm):

- $\epsilon_y = 2.6 \pm 1.1$  pm @ low beam current (20 mA)
- $\epsilon_y = 3.2 / 4.5$  pm @ high beam current (200 mA)  
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- Observed intensity-dependent vertical coherent motion (both at low and high frequencies: ion-trapping instability + resistive wall impedance) may account for the larger  $\epsilon_y$  @ high beam current

## Conclusion

- The Resonance Driving Terms (RDT) formalism helps to clarify the various definitions of “vertical emittance” in the presence of coupling and allows a straightforward linear correction algorithm.

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- Two procedures to preserve small vertical emittance during beam delivery were successfully tested: as of spring 2011 stable  $\varepsilon_y = 3.2$ -4.5 pm delivered to users (lifetime of 45 hours after refilling @ 200 mA, 10 hours less than in the past only).

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- Final goal: to deliver a beam of  $\varepsilon_y = 2$  pm.

## EXTRA : Caution with Guignard's formula

- At very low coupling  $\epsilon_y$  may be smaller than monitor/camera resolution and hence non measurable.
- One may be then tempted to use Guignard's formula

$$\epsilon_y = g \epsilon_x$$

$$g = \frac{(|C^-|/\Delta)^2}{(|C^-|/\Delta)^2 + 2}$$

The “large”  $\epsilon_x$  easier to measure and “g” evaluated from tune measurements (closest tune approach).  $\Delta = Q_x - Q_y$  (fractional part,  $|C^-|$  is the difference resonance stop band.

- But the formula is only valid for:
  - ✓ sum resonance negligible, i.e.  $|C^-| \gg |C^+|$
  - ✓ Vert. dispersion  $D_y$  contribution to  $\epsilon_y \ll$  betatron coupling contribution

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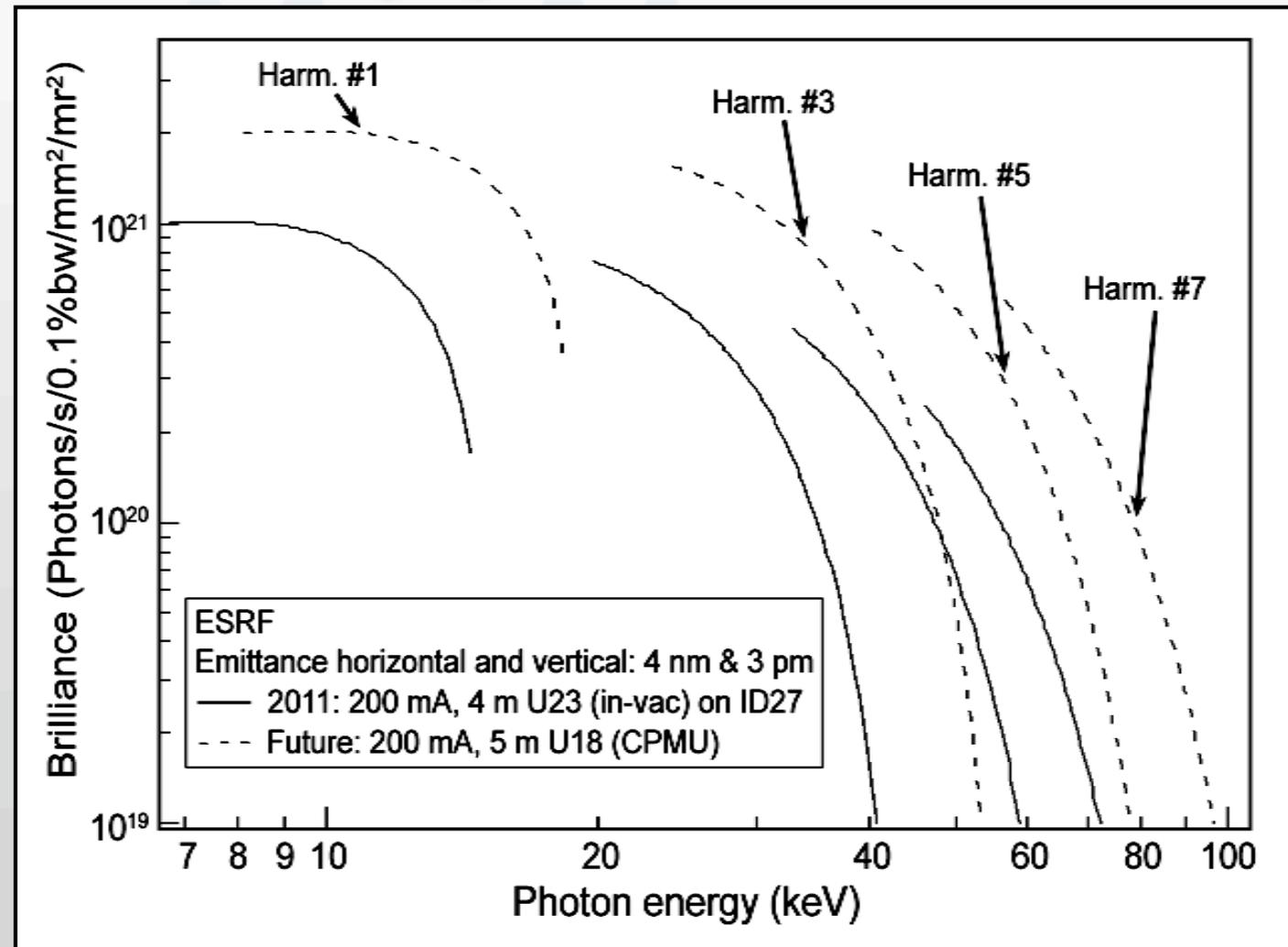
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**@ ESRF SR**

- But the formula is only valid for: **9E-4** **3E-3**
  - ✓ sum resonance negligible, i.e.  $|C^-| \ll |C^+|$
  - ✓ Vert. dispersion  $D_y$  contribution to  $\epsilon_y$  **>** betatron coupling contribution **0.94 pm** **0.65 pm**

## EXTRA: Brilliance @ $\epsilon_y = 3 \text{ pm}$ @200 mA

Solid curve:  
Brilliance of the X-ray beam emitted from the two in-vacuum undulators installed on ID27 (High Pressure beamline). Each undulator segment has a period of 23 mm, a length of 2 m and is operated with a minimum gap of 6 mm.



## EXTRA: Why correcting coupling?

- Lower coupling ( $x$ - $y$  &  $y$ - $\delta$ )  $\rightarrow$  lower vertical emittance  $\rightarrow$ 
  - ✓ higher brilliance (luminosity for colliders)
  - ✓ smaller vertical beam size  $\rightarrow$  lower ID gaps  $\rightarrow$  higher ID magnetic field  $\rightarrow$  higher photon flux
- Lower coupling  $\rightarrow$  lower radiation dose during injection, as the (unavoidable) large oscillations of the incoming electrons induce limited vertical oscillations and hence beam loss (the latter occurs mainly in the vertical plane)
- But lower bunch volume  $\rightarrow$  higher probability of electron collisions (Touschek)  $\rightarrow$  lower lifetime